

# Bidirectional Contextual Grammars

# Contextual Grammar without Choice

Contextual Grammar without Choice,  $G = (T, P, S)$  (see [4])

$T$  is a finite alphabet

$P$  is a finite subset of  $T^* \times T^*$ , called **contexts**

$S$  is a finite language over  $T$

## External Derivation Step

$x \Rightarrow y$  iff  $y = uxv$ , for a context  $(u, v) \in P$

## Generated Language

$L(G) = \{z : s \Rightarrow^* z, \text{ for any } s \in S\}$

## Generative Power

$\mathcal{L}_{EC} = \mathcal{L}_{LIN_1}$  (languages described by linear grammars with 1 nonterminal)

## Example

$$G_{EC} = (\{a, b\}, \{(a, b)\}, \{\varepsilon\})$$

$$G_{LIN_1} = (\{S\}, \{a, b\}, \{S \rightarrow aSb, S \rightarrow \varepsilon\}, S)$$

$$\varepsilon \Rightarrow ab \Rightarrow aabb \Rightarrow aaabbb \text{ in } G_{EC}$$

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \text{ in } G_{LIN_1}$$

$$L(G_{EC}) = L(G_{LIN_1}) = \{a^n b^n : n \geq 0\}$$

# Bidirectional Contextual Grammar

Bidirectional ([1]) Contextual Grammar,  $G = (T \cup \{\$, \}, P_d \cup P_r, S)$

$T$  is a finite alphabet,  $\$$  is a special symbol,  $\$ \notin T$

$P_d, P_r$  are finite subsets of  $(T \cup \{\$, \})^* \times (T \cup \{\$, \})^*$

$S$  is a finite language over  $T \cup \{\$, \}$

## Computation Step

derivation  $x \xrightarrow{d} y$  iff  $y = uxv$ , for a context  $(u, v) \in P_d$

reduction  $y \xrightarrow{r} x$  iff  $y = uxv$ , for a context  $(u, v) \in P_r$

computation  $x \Rightarrow y$  iff  $x \xrightarrow{d} y$  or  $x \xrightarrow{r} y$

## $\$$ -Bounded Language (see [5])

${}_sL(G) = \{z : s \Rightarrow^* \$z\$ \text{ in } G, z \in T^*, s \in S\}$

# Main Result

## Successful Computation

Every computation of the form  $s \Rightarrow^* \$z\$$ ,  $s \in S, z \in T^*$  is said to be **successful**.

## Turn

Every computation of the form

$$x \underset{d}{\Rightarrow} y \underset{r}{\Rightarrow} z \text{ or } x \underset{r}{\Rightarrow} y \underset{d}{\Rightarrow} z,$$

$x, y, z \in (T \cup \{\$\})^*$  is called **turn**.  $G$  is  **$i$ -turn** if every successful computation in  $G$  contains at most  $i$  turns.

## Theorem

*Let  $L$  be a recursively enumerable language. Then, there exists a one-turn bidirectional contextual grammar,  $G$ , such that  $L = \$L(G)$ .*

# Queue Grammar (QG)

- we represent the recursively enumerable language by a queue grammar

Queue Grammar  $G = (V, T, W, F, s, P)$

$V$  is a finite alphabet of **symbols**

$T$  is a set of terminals,  $T \subset V$

$W$  is a finite alphabet of **states**

$F$  is a set of final states,  $F \subset W$

$s$  is a **starting string**,  $s \in (V - T)(W - F)$

$P$  is a finite set of **productions** of the form:  $(a, b, x, c)$

$a \in V$

$b \in (W - F)$

$x \in V^*$

$c \in W$

# Queue Grammar – Derivation Step

## Derivation Step

If  $u = arb$ ,  $v = rxc$ ,  $a \in V$ ,  $r, x \in V^*$ ,  $b, c \in W$ , and  $(a, b, x, c) \in P$ , then  $u \Rightarrow v [(a, b, x, c)]$ .

## Generated Language

$L(G) = \{w : s \Rightarrow^* wf, w \in T^*, f \in F\}$

## Generative Power (see [2])

$\mathcal{L}_{QG} = \mathcal{L}_{RE}$

## Lemma

*For every QG there exists an equivalent QG which generates every string so that it first uses only productions rewriting symbols over  $(V - T)^*$ , and then only symbols over  $T^*$  (proof, see [3]).*

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

$$\begin{aligned} A\bar{e} &\Rightarrow bAa\bar{e} [1] \Rightarrow Aab\bar{e} [4] \Rightarrow abbAa\bar{e} [1] \Rightarrow bbAaa\bar{e} [3] \Rightarrow bAaab\bar{e} [4] \\ &\Rightarrow Aaabb\bar{e} [4] \Rightarrow aabb\bar{f} [2] \end{aligned}$$

$$L(G_1) = \{a^n b^n : n \geq 0\}$$

## Basic Idea

- 1 represent the recursively enumerable language by a **QG**
- 2 simulate any derivation in the **QG** by a bidirectional contextual grammar using derivation steps (in the reverse order)
  - 1 simulate the last derivation step in the **QG** by a string from  $S$  in the contextual grammar
  - 2 simulate generation of words from  $T^+$
  - 3 simulate generation of words from  $(V - T)^*$
  - 4 simulate the starting string of the **QG**
- 3 verify the simulation by reduction steps

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue  $A$

States  $\bar{e}$

Productions

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue	A	b	A	a
States	$\bar{e}$	$\bar{e}$		
Productions	1			

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue	A	b	A	a	b
States	$\bar{e}$	$\bar{e}$	$\bar{e}$		
Productions	1	4			

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue	A	b	A	a	b	b	A	a
States	$\bar{e}$	$\bar{e}$	$\bar{e}$	$\bar{e}$				
Productions	1	4	1					

# QG Simulation – Example

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue	A	b	A	a	b	b	A	a	a
States	$\bar{e}$	$\bar{e}$	$\bar{e}$	$\bar{e}$	$\bar{e}$				
Productions	1	4	1	3					

# QG Simulation – Example

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue	A	b	A	a	b	b	A	a	a	b
States	$\bar{e}$	$\bar{e}$	$\bar{e}$	$\bar{e}$	$\bar{e}$	$\bar{e}$				
Productions	1	4	1	3	4					

# QG Simulation – Example

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue	A	b	A	a	b	b	A	a	a	b	b
States	$\bar{e}$										
Productions	1	4	1	3	4	4					

# QG Simulation – Example

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue	A	b	A	a	b	b	A	a	a	b	b
States	$\bar{e}$	$\bar{f}$									
Productions	1	4	1	3	4	4	2				

# QG Simulation – Example

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue	A	b	A	a	b	b	A	a	a	b	b
States	$\bar{e}$	$\bar{f}$									
Productions	1	4	1	3	4	4	2				
Prod. (queue)	1,2	4	1,2	3	4	4	1,2				
Prod. (state)	1-4	1-4	1-4	1-3,4	1-4	1-4	1,2-4				
Simulated pr.	1	4	1	3	4	4	2				

# QG Simulation – Example

## Example

$$G_1 = (\{A, a, b\}, \{a, b\}, \{\bar{e}, \bar{f}\}, \{\bar{f}\}, A\bar{e}, P_1)$$

$$P_1 = \{ \begin{array}{l} 1 : (A, \bar{e}, bAa, \bar{e}), \\ 2 : (A, \bar{e}, \varepsilon, \bar{f}), \\ 3 : (a, \bar{e}, a, \bar{e}), \\ 4 : (b, \bar{e}, b, \bar{e}) \end{array} \}$$

Queue	A	b	A	a	b	b	A	a	a	b	b
States	$\bar{e}$	$\bar{f}$									
Productions	1	4	1	3	4	4	2				
Prod. (queue)	1,2	4	1,2	3	4	4	1,2				
Prod. (state)	1-4	1-4	1-4	1-3,4	1-4	1-4	1,2-4				
Simulated pr.	1	4	1	3	4	4	2				

# QG Simulation by Bidirectional Contextual Grammar

## Simulation of QG

- expand the queue on the left-hand side of the sentential form
- check the states on the right-hand side of the sentential form
  
- terminals –  $a$
- productions based on queue –  $b$
- productions based on states –  $c$
- simulated productions –  $d$

## Sentential Form before the Turn

$$\$b_n \dots \$b_2 \$b_1 \$a_1 a_2 \dots a_n \$c_1 \$\$d_1 \$\$c_2 \$\$d_2 \$\$ \dots c_n \$\$d_n \$\$$$

# Construction I

- $Q = (V, T, W, F, s, R)$  such that  $L(Q) = L$
- $o \in T$  – any symbol from  $T$
- $\alpha$  – injective homomorphism from  $R$  to  $\{o\}^+$
- $f(\varepsilon) = \varepsilon$  and  $f(a) = \{\alpha((a, b, c_1 \dots c_n, d)) : (a, b, c_1 \dots c_n, d) \in R\}$  for all  $a \in V$
- $g(b) = \{\alpha((a, b, c_1 \dots c_n, d)) : (a, b, c_1 \dots c_n, d) \in R\}$  for all  $b \in W$

## Constructed Bidirectional Contextual Grammar

$$G = (T \cup \{\$, \}, P_d \cup P_r, S)$$

## Simulation of the Last Step in QG

$$S = \{c_1 \dots c_n \$ \alpha((a, b, c_1 \dots c_n, d)) : (a, b, c_1 \dots c_n, d) \in R, \\ c_1, \dots, c_n \in T \text{ for some } n \geq 0, d \in F\}$$

## Construction II

### Simulation of **QG**'s Productions over $T^+$

For every  $(a, b, c_1 \dots c_n, d) \in R$ ,  $c_1, \dots, c_n \in T$ , for some  $n \geq 0$ ,  $d \in (W - F)$ ,  $\bar{d} \in g(d)$ , add

$$(c_1 \dots c_n, \$\bar{d}\$ \alpha((a, b, c_1 \dots c_n, d)))$$

to  $P_d$ .

### Simulation of **QG**'s Productions over $(V - T)^+$

For every  $(a, b, c_1 \dots c_n, d) \in R$ ,  $c_1, \dots, c_n \in (V - T)$ , for some  $n \geq 0$ ,  $d \in (W - F)$ ,  $\bar{c}_1 \in f(c_1), \dots, \bar{c}_n \in f(c_n)$ ,  $\bar{d} \in g(d)$ , add

$$(\bar{c}_1 \bar{c}_2 \$ \dots \bar{c}_n \$, \$\bar{d}\$ \alpha((a, b, c_1 \dots c_n, d)))$$

to  $P_d$ .

# Construction III

## Simulation of **QG**'s Starting String

For every  $\bar{a}_0 \in f(a_0)$ ,  $\bar{q}_0 \in f(q_0)$  such that  $s = a_0 q_0$ , add

$$(\$ \bar{a}_0 \$, \$ \$ \bar{q}_0 \$ \$)$$

to  $P_d$ .

## Verification of the Simulation

For every  $r \in R$ , add

$$(\$ \alpha(r), \alpha(r) \$ \$ \alpha(r) \$ \$)$$

to  $P_r$ .

# Proof I

$$S \approx c_1 \dots c_n \alpha((a, b, c_1 \dots c_n, d))$$

$${}_1P_d \approx (c_1 \dots c_n, \bar{d} \alpha((a, b, c_1 \dots c_n, d)))$$

$${}_2P_d \approx (\bar{c}_1 \bar{c}_2 \dots \bar{c}_n, \bar{d} \alpha((a, b, c_1 \dots c_n, d)))$$

$${}_3P_d \approx (\bar{a}_0, \bar{q}_0)$$

$$P_r \approx (\alpha(r), \alpha(r) \alpha(r))$$

- $s \notin L(G)$ , for any  $s \in S$
- no production from  ${}_1P_d \cup {}_2P_d \cup {}_3P_d$  is applied in the very last computation step

Therefore, the computation has the form

$$\begin{array}{l} s \Rightarrow^+ v \quad [\rho] \\ r \Rightarrow^+ w \quad [\tau]. \end{array}$$

- $\rho$  denotes productions from  ${}_1P_d \cup {}_2P_d \cup {}_3P_d \cup P_r$
- $\tau$  denotes productions from  $P_r$

# Proof II

$$P_r \approx (\alpha(r), \alpha(r) \alpha(r))$$

## Incorrect Forms of $v$

- $p_{n-1} \dots p_1 w p_1 p_1 \dots p_{n-1} p_{n-1} p_n p_n$   
 $r \Rightarrow^* \notin L(G)$
- $p_{n-2} \dots p_1 w p_1 p_1 \dots p_{n-1} p_{n-1} p_n p_n$   
 $r \Rightarrow^* \notin L(G)$
- $p_n \dots p_1 w p_1 p_1 \dots p_{n-1} p_{n-1}$   
 $r \Rightarrow^* \notin L(G)$

## Correct Form of $v$

$$p_n p_{n-1} \dots p_1 w p_1 p_1 \dots p_{n-1} p_{n-1} p_n p_n$$

# Proof III

$$S \approx c_1 \dots c_n \alpha((a, b, c_1 \dots c_n, d))$$

$${}_1P_d \approx (c_1 \dots c_n, \overline{d} \alpha((a, b, c_1 \dots c_n, d)))$$

$${}_2P_d \approx (\overline{c_1} \overline{c_2} \dots \overline{c_n}, \overline{d} \alpha((a, b, c_1 \dots c_n, d)))$$

$${}_3P_d \approx (\overline{a_0}, \overline{q_0})$$

$$P_r \approx (\alpha(r), \alpha(r))$$

- $p_r \in P_r$  cannot be used after  ${}_1P_d \cup {}_2P_d$
- $p_r \in P_r$  can be used after  $p_3 \in {}_3P_d$

Therefore, the computation has the form

$$\begin{array}{llll} s & \xRightarrow{d}^* & u_1 & [\xi_1] \\ & \xRightarrow{d} & u_2 & [p_3] \\ & \xRightarrow{}^* & v & [\xi_2] \\ & \xRightarrow{r}^+ & w & \end{array}$$

- $\xi_1$  is a sequence of productions from  ${}_1P_d \cup {}_2P_d$
- $\xi_2$  is a sequence of productions from  ${}_1P_d \cup {}_2P_d \cup {}_3P_d \cup P_r$

# Proof IV

$$S \approx c_1 \dots c_n \alpha((a, b, c_1 \dots c_n, d))$$

$${}_1P_d \approx (c_1 \dots c_n, \bar{d} \alpha((a, b, c_1 \dots c_n, d)))$$

$${}_2P_d \approx (\bar{c}_1 \bar{c}_2 \dots \bar{c}_n, \bar{d} \alpha((a, b, c_1 \dots c_n, d)))$$

$${}_3P_d \approx (\bar{a}_0, \bar{q}_0)$$

$$P_r \approx (\alpha(r), \alpha(r) \alpha(r))$$

## The Form of $u_2$

$$p_m p_{m-1} \dots p_1 w p_1 p_1 \dots p_{n-1} p_{n-1} p_n p_n$$

- after any application of  $p_r \in P_r$ ,  $\$$  is at the end of the sentential form
- $p_r$  followed by  $p_d \in {}_1P_d \cup {}_2P_d \cup {}_3P_d$  leads to  $\bar{\$}$  which blocks the computation
- after  $p_3 \in {}_3P_d$  only  $p_r \in P_r$  can be used

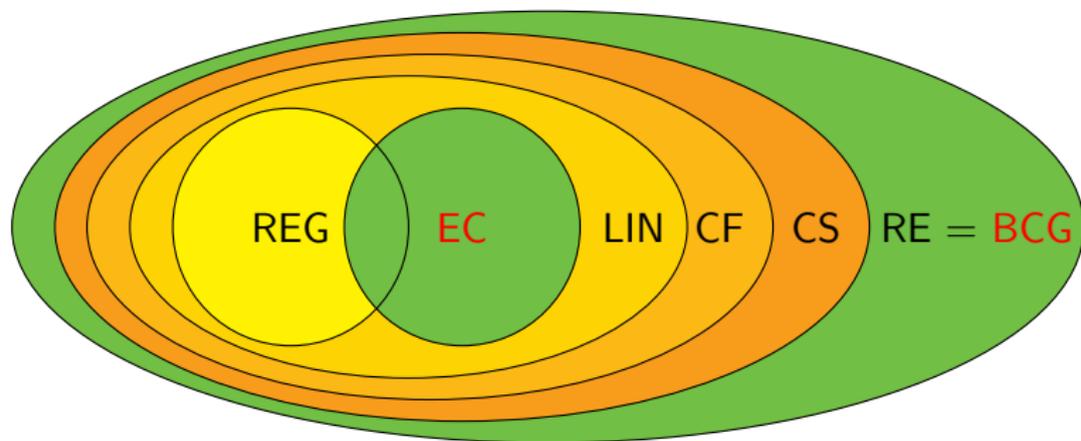
We obtain every successful computation in the form

$$\begin{array}{llll} s & d \Rightarrow^* & y & [\psi] \\ & d \Rightarrow^* & u & [\zeta] \\ & d \Rightarrow & v & [p_3] \\ & r \Rightarrow^* & w & [\eta] \end{array}$$

- $\psi$  is a sequence of productions from  ${}_1P_d$
- $\zeta$  is a sequence of productions from  ${}_2P_d$
- $p_3 \in {}_3P_d$
- $\eta$  is a sequence of productions from  $P_r$

# Summary

- by the introduction of
  - reducing productions and
  - $\$$ -bounded language,we greatly increase the power of contextual grammars



## Further Investigation

- bidirectional contextual automata (machines)



D. E. Appelt.

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