

Self-Regulating Finite Automata

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Based on
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Outline

- 1 Introduction
 - Finite Automata
 - Self-Regulation—The Main Idea

- 2 Definitions
 - Self-Regulating Finite Automata
 - All-Move Self-Regulating Finite Automata
 - First-Move Self-Regulating Finite Automata

- 3 Results
 - First-Move Self-Regulating Finite Automata
 - All-Move Self-Regulating Finite Automata
 - Comparison of First-Move and All-Move SFAs

- 4 Open Problems
 - Self-Regulating Pushdown Automata

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Finite Automata—Concept

tape

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| a | a | a | b | b | b | b | a |
|---|---|---|---|---|---|---|---|



Characteristics

- Finite state control
- Input cannot be modified
- Head only moves forward

Finite Automata—Definition

Definition

A **finite automaton** is a quintuple

$$M = (Q, \Sigma, \delta, q_0, F)$$

where

- Q is a finite set of **states**,
- Σ is an **input** alphabet,
- δ is a finite set of **rules**,
- $q_0 \in Q$ is an **initial** state,
- $F \subseteq Q$ is a set of **final** states.

Finite Automata—Language

Definition

A **configuration** is any member of $Q\Sigma^*$.

If

$$qwy \in Q\Sigma^* \text{ and } r.qw \rightarrow p \in \delta,$$

then

$$qwy \Rightarrow py[r].$$

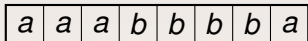
The **language** of M is the set

$$\mathcal{L}(M) = \{w \in \Sigma^* : q_0w \Rightarrow^* f, f \in F\},$$

where \Rightarrow^* is the reflexive and transitive closure of \Rightarrow .

Finite Automata—Example

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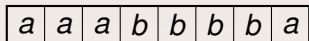
Current state: q_a

Description

- $Q = \{q_a, q_b\}$
- $\Sigma = \{a, b\}$
- δ contains
 - 1. $q_a a \rightarrow q_a$
 - 2. $q_a b \rightarrow q_b$
 - 3. $q_b b \rightarrow q_b$
- M starts in q_a
- $F = Q$
- M cannot read the last a
- $\mathcal{L}(M) = \{a\}^* \{b\}^*$

Finite Automata—Example

tape



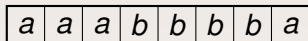
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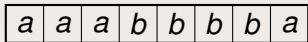
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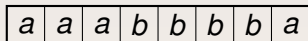
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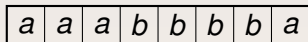
Current state: q_b

Description

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Finite Automata—Example

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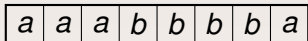
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Finite Automata—Example

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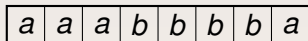
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Finite Automata—Example

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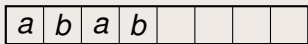
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Self-Regulation—The Main Idea

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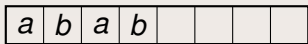
state: s , gen. rules: 4,5,6

Description

- δ contains
 - (1. $sa \rightarrow s, \{4\}$)
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 - (3. $s \rightarrow t, \{6\}$)
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Self-Regulation—The Main Idea

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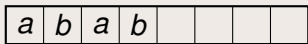
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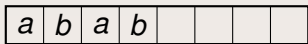
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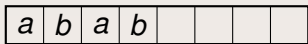
Automaton makes a turn

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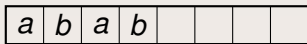
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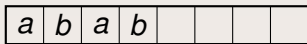
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Self-Regulation—The Main Idea

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state: f , gen. rules: 4,5,6

Description

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Self-Regulating Finite Automata

Definition

Let

$$N = (Q, \Sigma, \delta, q_0, F)$$

be a finite automaton.

A **self-regulating finite automaton**, SFA, is a triple

$$M = (N, q_t, R),$$

where

- 1 $q_t \in Q$ is a **turn state**, and
- 2 $R \subseteq \Psi \times \Psi$ is a finite **relation** on the alphabet of rule labels.

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All-Move Self-Regulating Finite Automata

Definition

For $n \geq 0$, an SFA M is an n -turn all-move SFA, n -all-SFA, if M accepts w as follows. There is $q_0 w \Rightarrow^* f[\mu]$, $f \in F$, such that

$$\mu = \underbrace{r_1^0 r_2^0 \dots r_k^0}_{k \text{ rules}} \underbrace{r_1^1 r_2^1 \dots r_k^1}_{k \text{ rules}} \dots \underbrace{r_1^n r_2^n \dots r_k^n}_{k \text{ rules}}$$

where $k \in \mathbb{N}$, r_k^0 is the first rule of the form $qx \rightarrow qt$, for some $q \in Q$, $x \in \Sigma^*$, and

$$(r_i^j, r_i^{j+1}) \in R$$

for all $1 \leq i \leq k$, $0 \leq j < n$.

The family of languages accepted by n -all-SFAs is denoted S_n .

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First-Move Self-Regulating Finite Automata

Definition

For $n \geq 0$, an SFA M is an n -turn first-move SFA, n -first-SFA, if M accepts w as follows. There is $q_0 w \Rightarrow^* f[\mu]$, $f \in F$, such that

$$\mu = \underbrace{r_1^0 \dots r_k^0}_{k \text{ rules}} \underbrace{r_1^1 \dots r_k^1}_{k \text{ rules}} \dots \underbrace{r_1^n \dots r_k^n}_{k \text{ rules}}$$

where $k \in \mathbb{N}$, r_k^0 is the first rule of the form $qx \rightarrow qt$, for some $q \in Q$, $x \in \Sigma^*$, and

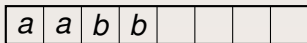
$$(r_1^j, r_1^{j+1}) \in R$$

for all $0 \leq j < n$.

The family of languages accepted by n -first-SFAs is denoted W_n .

First-Move SFA—Example

tape



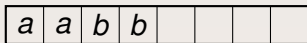
state: s , gen. rules: $3, 4$

Description

- δ contains
 - $(1. sa \rightarrow s, \{3\})$
 - $(2. sa \rightarrow t, -)$
 - $(3. tb \rightarrow f, -)$
 - $(4. fb \rightarrow f, -)$
- f is the final state
- t is the turn state
- $\mathcal{L}(M) = \{a^n b^n : n \geq 1\}$

First-Move SFA—Example

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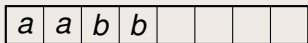
state: s , gen. rules: 3

Description

- δ contains
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First-Move SFA—Example

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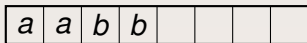
state: t , gen. rules: 3,-
Automaton makes a turn

Description

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First-Move SFA—Example

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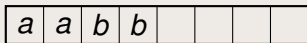
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First-Move SFA—Example

tape



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Parallel Right-Linear Grammars (PRLG)

Definition

For $n > 0$, an n -PRLG is an $(n + 3)$ -tuple

$$G = (N_1, \dots, N_n, T, S, P),$$

where

- N_i are mutually disjoint nonterminal alphabets, $1 \leq i \leq n$,
- T is a terminal alphabet,
- $S \notin N_1 \cup \dots \cup N_n$,
- P contains three kinds of rules:
 - 1 $S \rightarrow X_1 \dots X_n$ $X_i \in N_i, 1 \leq i \leq n$,
 - 2 $X \rightarrow wY$ $X, Y \in N_i$ for some $i, 1 \leq i \leq n, w \in T^*$, and
 - 3 $X \rightarrow w$ $X \in N, w \in T^*$.

PRLG—Derivation Step

For $x, y \in (N \cup T \cup \{S\})^*$,

$$x \Rightarrow y$$

if and only if

① either $x = S$ and $S \rightarrow y \in P$,

② $x = y_1 X_1 y_2 X_2 \dots y_n X_n$

$$\downarrow \quad \downarrow \dots \downarrow$$

$$y = y_1 x_1 y_2 x_2 \dots y_n x_n$$

$$y_i \in T^*, x_i \in T^* N \cup T^*, X_i \in N_i, X_i \rightarrow x_i \in P, 1 \leq i \leq n.$$

$\mathcal{L}(G) = \{w \in T^* : S \Rightarrow^+ w\}$, \Rightarrow^+ defined as usual.

$R_n = \{\mathcal{L}(G) : G \text{ is an } n\text{-PRLG}\}$.

PRLG—Derivation Step

For $x, y \in (N \cup T \cup \{S\})^*$,

$$x \Rightarrow y$$

if and only if

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$y = y_1 x_1 y_2 x_2 \dots y_n x_n$

$y_i \in T^*, x_i \in T^* N \cup T^*, X_i \in N_i, X_i \rightarrow x_i \in P, 1 \leq i \leq n.$

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PRLG—Example

Example

Let $G = (\{A\}, \{B\}, \{a, b\}, S, P)$ be a 2-PRLG, where P contains rules

- $S \rightarrow AB$
- $A \rightarrow aA$
- $A \rightarrow a$
- $B \rightarrow bB$
- $B \rightarrow b$

Consider a derivation in G :

S

PRLG—Example

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$$S \Rightarrow AB$$

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$$S \Rightarrow AB \Rightarrow aAbB$$

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PRLG—Example

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Consider a derivation in G :

$$S \Rightarrow AB \Rightarrow aAbB \Rightarrow aaAbbB \Rightarrow a^3b^3$$

PRLG—Example

Example

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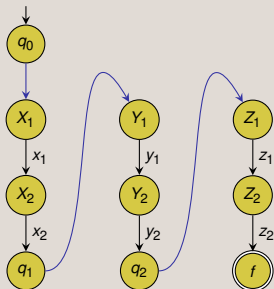
Consider a derivation in G :

$$\mathcal{L}(G) = \{a^n b^n : n \geq 1\}$$

Lemma

Let G be a 3-PRLG. There is a 2-first-SFA M s. t. $\mathcal{L}(G) = \mathcal{L}(M)$.

Proof idea.

$$\begin{array}{c}
 S \\
 \Downarrow \\
 X_1 Y_1 Z_1 \\
 \Downarrow \\
 x_1 X_2 y_1 Y_2 z_1 Z_2 \\
 \Downarrow \\
 x_1 x_2 y_1 y_2 z_1 z_2
 \end{array}$$


Lemma

Let M be a 2-first-SFA. There is a 3-PRLG G s. t. $\mathcal{L}(G) = \mathcal{L}(M)$.

Proof idea.

Let $\mu = (q_0x_0 \rightarrow q_1), (q_1x_1 \rightarrow q_2), (q_2x_2 \rightarrow q_t),$
 $(q_t y_0 \rightarrow r_1), (r_1 y_1 \rightarrow r_2), (r_2 y_2 \rightarrow q_i),$
 $(q_i z_0 \rightarrow s_1), (s_1 z_1 \rightarrow s_2), (s_2 z_2 \rightarrow q_f)$

be an acceptance of $x_0x_1x_2y_0y_1y_2z_0z_1z_2z_2$ in M . Then,

$$\begin{aligned} S &\Rightarrow [q_0x_0, q_1, 0, q_t][q_t y_0, r_1, 1, q_i][q_i z_0, s_1, 2, q_f] \\ &\Rightarrow x_0[q_1, 0, q_t]y_0[r_1, 1, q_i]z_0[s_1, 2, q_f] \\ &\Rightarrow x_0x_1[q_2, 0, q_t]y_0y_1[r_2, 1, q_i]z_0z_1[s_2, 2, q_i] \\ &\Rightarrow x_0x_1x_2[q_t, 0, q_t]y_0y_1y_2[q_i, 1, q_i]z_0z_1z_2[q_f, 2, q_f] \\ &\Rightarrow x_0x_1x_2y_0y_1y_2z_0z_1z_2 \end{aligned}$$



Lemma

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PRLG vs. first-SFA

Lemma

Let G be an n -PRLG. There is an $(n - 1)$ -first-SFA M such that $\mathcal{L}(G) = \mathcal{L}(M)$.

Lemma

Let M be an n -first-SFA. There is an $(n + 1)$ -PRLG G such that $\mathcal{L}(G) = \mathcal{L}(M)$.

Theorem

For all $n \geq 0$, $W_n = R_{n+1}$.

Language Families of First-Move SFAs

Corollary

- 1 $REG = W_0 \subset W_1 \subset W_2 \subset \dots \subset CS.$
- 2 $W_1 \subset CF.$
- 3 $W_2 \not\subset CF.$
- 4 $CF \not\subset W_n$ for any $n \geq 0.$
- 5 For all $n \geq 0$, W_n is closed under union, finite substitution, homomorphism, intersection with a regular language and right quotient with a regular language.
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 - Comparison of First-Move and All-Move SFAs
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 - Self-Regulating Pushdown Automata

Right-Linear Simple Matrix Grammar (RLSMG)

Definition

For $n > 0$, an n -RLSMG is an $(n + 3)$ -tuple

$$G = (N_1, \dots, N_n, T, S, P),$$

where

- N_i are mutually disjoint nonterminal alphabets, $1 \leq i \leq n$,
- T is a terminal alphabet,
- $S \notin N_1 \cup \dots \cup N_n$,
- P contains three kinds of matrix rules:

$$\textcircled{1} [S \rightarrow X_1 \dots X_n]$$

$$X_i \in N_i, 1 \leq i \leq n,$$

$$\textcircled{2} [X_1 \rightarrow w_1 Y_1, \dots, X_n \rightarrow w_n Y_n]$$

$$w_i \in T^*, X_i, Y_i \in N_i, 1 \leq i \leq n,$$

$$\textcircled{3} [X_1 \rightarrow w_1, \dots, X_n \rightarrow w_n]$$

$$X_i \in N_i, w_i \in T^*, 1 \leq i \leq n.$$

RLSMG–Derivation Step

For $x, y \in (N \cup T \cup \{S\})^*$,

$$x \Rightarrow y$$

if and only if

① either $x = S$ and $[S \rightarrow y] \in P$,

② $x = y_1 X_1 y_2 X_2 \dots y_n X_n$

$$\downarrow \quad \downarrow \dots \downarrow$$

$$y = y_1 x_1 y_2 x_2 \dots y_n x_n$$

$$y_i \in T^*, x_i \in T^* N \cup T^*, X_i \in N_i, 1 \leq i \leq n,$$

$$[X_1 \rightarrow x_1, \dots, X_n \rightarrow x_n] \in P.$$

$\mathcal{L}(G) = \{w \in T^* : S \Rightarrow^+ w\}$, \Rightarrow^+ is defined as usual.

$R_{[n]} = \{\mathcal{L}(G) : G \text{ is an } n\text{-RLSMG}\}.$

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RLSMG—Example

Example

Let $G = (\{A\}, \{B\}, \{a, b\}, S, P)$ be a 2-PRLG, where P contains rules

- $[S \rightarrow AB]$
- $[A \rightarrow aA, B \rightarrow aB]$
- $[A \rightarrow bA, B \rightarrow bB]$
- $[A \rightarrow \varepsilon, B \rightarrow \varepsilon]$

Consider a derivation in G :

S

RLSMG—Example

Example

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Consider a derivation in G :

$$S \Rightarrow AB$$

RLSMG—Example

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- $[A \rightarrow \varepsilon, B \rightarrow \varepsilon]$

Consider a derivation in G :

$$\mathcal{L}(G) = \{ww : w \in \{a, b\}^*\}$$

RLSMG vs. all-SFA

Lemma

Let G be an n -RLSMG. There is an $(n - 1)$ -all-SFA M such that $\mathcal{L}(G) = \mathcal{L}(M)$.

Lemma

Let M be an n -all-SFA. There is an $(n + 1)$ -RLSMG G such that $\mathcal{L}(G) = \mathcal{L}(M)$.

Theorem

For all $n \geq 0$, $S_n = R_{[n+1]}$.

Language Families of All-Move SFAs

Corollary

- 1 $REG = S_0 \subset S_1 \subset S_2 \subset \dots \subset CS$.
- 2 $S_1 \not\subseteq CF$.
- 3 $CF \not\subseteq S_n$, for $n \geq 0$.
- 4 For all $n \geq 0$, S_n is closed under union, finite substitution, homomorphism, intersection with a regular language, right quotient with a regular language, and inverse homomorphism.
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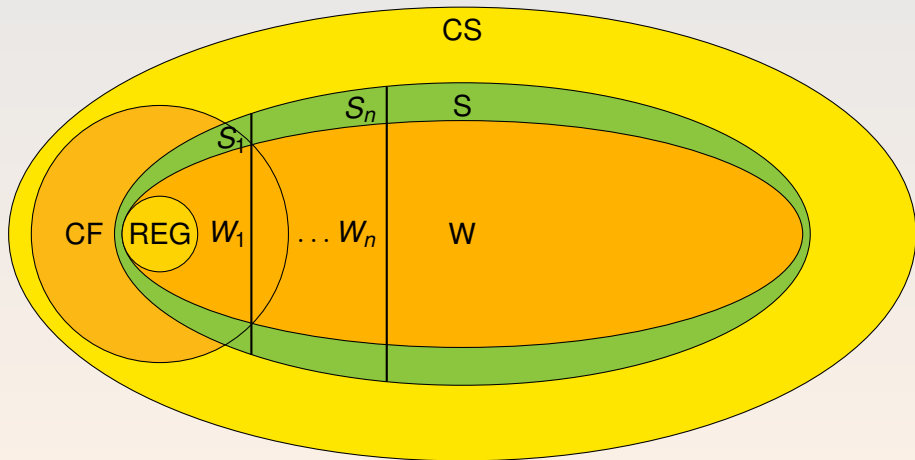
- Self-Regulating Pushdown Automata

Comparison

Theorem

- 1 $W_0 = S_0 = \text{REG}$.
- 2 For all $n > 0$, $W_n \subset S_n$.
- 3 $W_n \not\subseteq S_{n-1}$, $n \geq 1$.
- 4 $S_n - W \neq \emptyset$, $n \geq 1$, where $W = \bigcup_{m=1}^{\infty} W_m$.

Comparison



W_n is the family of languages accepted by n -first-SFAs

S_n is the family of languages accepted by n -all-SFAs

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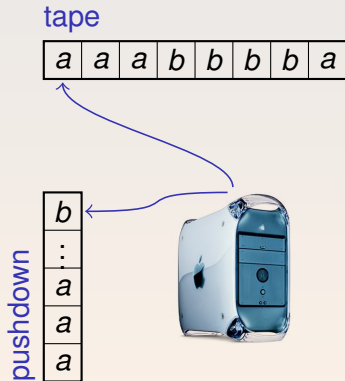
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- Self-Regulating Pushdown Automata

Pushdown Automata—Concept



Characteristics

- Finite state control
- Input **cannot** be modified
- Head only moves **forward**
- Potentially **infinite** pushdown store
- Pushdown top **can** be modified
- Pushdown-head reads the **top** symbol

Pushdown Automata—Definition

Definition

A **pushdown automaton** is a quintuple

$$M = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F),$$

where

- Q is a finite set of **states**,
- Σ is an **input** alphabet,
- Γ is a **pushdown** alphabet,
- δ is a finite set of **rules**,
- $q_0 \in Q$ is an **initial state**,
- Z_0 is an **initial pushdown symbol**,
- $F \subseteq Q$ is a set of **final** states.

Pushdown Automata—Language

Definition

A **configuration** is a member of $\Gamma^* Q \Sigma^*$.

If

$$qwy \in \Gamma^* Q \Sigma^* \text{ and } r.Aqw \rightarrow \gamma p \in \delta,$$

then

$$xAqwy \Rightarrow x\gamma py [r]$$

The **language** of M is the set

$$\mathcal{L}(M) = \{w \in \Sigma^* : Z_0 q_0 w \Rightarrow^* f, f \in F\}.$$

Self-Regulating Pushdown Automata

Definition

Let

$$N = (Q, \Sigma, \Gamma, \delta, q_0, Z_0, F)$$

be a pushdown automaton.

A **self-regulating pushdown automaton**, SPDA, is a triple

$$M = (N, q_t, R),$$

where

- 1 $q_t \in Q$ is a **turn state**, and
- 2 $R \subseteq \Psi \times \Psi$ is a **finite relation**, where Ψ is an alphabet of rule labels.

All-Move Self-Regulating Pushdown Automata

Definition

For $n \geq 0$, an SPDA M is n -turn all-move SPDA, n -all-SPDA, if M accepts w as follows. There is $Z_0 q_0 w \Rightarrow^* f[\mu]$, $f \in F$, such that

$$\mu = \underbrace{r_1^0 r_2^0 \dots r_k^0}_{k \text{ rules}} \underbrace{r_1^1 r_2^1 \dots r_k^1}_{k \text{ rules}} \dots \underbrace{r_1^n r_2^n \dots r_k^n}_{k \text{ rules}},$$

where $k \in \mathbb{N}$, r_k^0 is the first rule of the form $Zqx \rightarrow \gamma q_t$, for some $Z \in \Gamma$, $q \in Q$, $x \in \Sigma^*$, $\gamma \in \Gamma^*$, and

$$(r_i^j, r_i^{j+1}) \in R$$

for all $1 \leq i \leq k$, $0 \leq j < n$.

The family of languages accepted by n -all-SPDAs is denoted $\mathcal{L}(n\text{-all-SPDA})$.

First-Move Self-Regulating Pushdown Automata

Definition

For $n \geq 0$, an SPDA M is n -turn first-move SPDA, n -first-SPDA, if M accepts w as follows. There is $Z_0 q_0 w \Rightarrow^* f[\mu]$, $f \in F$, such that

$$\mu = \underbrace{r_1^0 r_2^0 \dots r_k^0}_{k \text{ rules}} \underbrace{r_1^1 r_2^1 \dots r_k^1}_{k \text{ rules}} \dots \underbrace{r_1^n r_2^n \dots r_k^n}_{k \text{ rules}},$$

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for all $0 \leq j < n$.

The family of languages accepted by n -first-SPDAs is denoted $\mathcal{L}(n\text{-first-SPDA})$.

All-Move Self-Regulating Pushdown Automata

Theorem

$$\mathcal{L}(0\text{-all-SPDA}) = CF$$

Proof.

This is clear. □

All-Move Self-Regulating Pushdown Automata

Theorem

$$\mathcal{L}(1\text{-all-SPDA}) = RE.$$

Proof Idea.

$L \in RE$, then there are CFGs G and H such that

$$L = h(\mathcal{L}(G) \cap \mathcal{L}(H)).$$

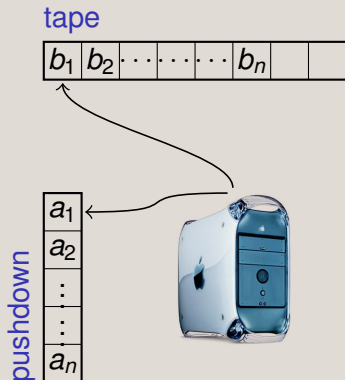
1-all-SPDA M simulates, on the pushdown,

- 1 a derivation in G . If a is on the top, M reads $h(a)$ from the input tape.
- 2 a derivation in H generating the same string (according to the relation of M). M reads no input.



All-Move Self-Regulating Pushdown Automata

Proof Idea.



Characteristics

- $b_i = h(a_i)$
- check if $a_1 a_2 \dots a_n \in \mathcal{L}(G)$
- if so, $h(a_1 a_2 \dots a_n) \in h(\mathcal{L}(G))$
- check if $a_1 a_2 \dots a_n \in \mathcal{L}(H)$
- if so,
 - $a_1 a_2 \dots a_n \in \mathcal{L}(G) \cap \mathcal{L}(H)$



All-Move Self-Regulating Pushdown Automata

Proof Idea—Construction.

$$M = (\{q_0, q, q_t, p, f\}, \Delta, \Sigma \cup N_G \cup N_H \cup \{Z\}, \delta, q_0, Z, \{f\}, R)$$

$Z \notin \Sigma \cup N_G \cup N_H$, with R and δ made as

- 1 add $(Zq_0 \rightarrow ZS_Gq, Zq_t \rightarrow ZS_Hp)$ to R
- 2 add $(Aq \rightarrow B_n \dots B_1aq, Cp \rightarrow D_m \dots D_1ap)$ to R if
 $A \rightarrow aB_1 \dots B_n \in P_G$ and
 $C \rightarrow aD_1 \dots D_m \in P_H$
- 3 add $(aqh(a) \rightarrow q, ap \rightarrow p)$ to R
- 4 add $(Zq \rightarrow Zq_t, Zp \rightarrow f)$ to R






Open Problems

Clearly, $\mathcal{L}(0\text{-first-SPDA}) = CF$.

- 1 What is $\mathcal{L}(n\text{-first-SPDA})$, for $n \geq 1$?
- 2 Determinism.
- 3 Closure properties under other operations.

Related Publications

-  J. Dassow and G. Paun.
Regulated Rewriting in Formal Language Theory.
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-  R. D. Rosebrugh and D. Wood.
Restricted parallelism and right linear grammars.
Utilitas mathematica, 7:151–186, 1975.
-  D. Wood.
 m -parallel n -right linear simple matrix languages.
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