

Indexed Grammars and Global Index Grammars

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29 October 2007

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Introduction, motivation

- Regulated grammars/Grammars with controlled derivations
- Contextual vs. context-free rules
- Natural language features modelling
 - reduplication: $\{xx \mid x \in N\}$,
 - multiple agreements: $\{a^n b^n c^n \mid n \geq 1\}$, $\{a^n b^n c^n d^n \mid n \geq 1\}$, ...
 - crossed agreements: $\{a^n b^m c^n d^m \mid m, n \geq 1\}$.
- Examples of reduplication
 - *чуть* (a little, few), *чуть-чуть* (very few)
 - 人 *rén* (person), 人人 *rénrén* (everybody)
 - *bon* (good), *bonbon* (bonbon)
 - *hocus-pokus*, *fifty-fifty*

Indexed Grammars

- Introduced by Alfred V. Aho in 1968.
- Extension of context-free grammars.
- Definition: $G=(N, T, I, P, S)$,
 - N , T and S are defined as in CFG,
 - I is a finite set of finite sets (indices) of productions of the form $A \rightarrow w$, where $A \in N$ and $w \in V_G^*$ and
 - P is a finite set of productions of the form $A \rightarrow \alpha$, where $A \in N$ and $\alpha \in (NI^* \cup T)^*$.

Indexed Grammars, cont.

- $G=(N, T, I, P, S)$,
 - I is a finite set of finite sets of productions of the form $A \rightarrow w$, where $A \in N$ and $w \in V_G^*$ and
 - P is a finite set of productions of the form $A \rightarrow \alpha$, where $A \in N$ and $\alpha \in (NI^* \cup T)^*$.
- Derivation relation $\Rightarrow: x \Rightarrow y$, where $x, y \in (NI^* \cup T)^*$,
if either
 - $x = x_1 A \beta x_2$, for some $x_1, x_2 \in (NI^* \cup T)^*$, $A \in N$, $\beta \in I^*$,
 - $A \rightarrow X_1 \beta_1 X_2 \beta_2 \dots X_k \beta_k \in P$,
 - $y = x_1 X_1 \gamma_1 X_2 \gamma_2 \dots X_k \gamma_k x_2$, with $\gamma_j = \beta_j \beta$, for $X_j \in N$, and $\gamma_j = \varepsilon$, for $X_j \in T$, $1 \leq j \leq k$
- or
 - $x = x_1 A i \beta x_2$, for some $x_1, x_2 \in (NI^* \cup T)^*$, $A \in N$, $i \in I$, $\beta \in I^*$,
 - $A \rightarrow X_1 X_2 \dots X_k \in i$,
 - $y = x_1 X_1 \gamma_1 X_2 \gamma_2 \dots X_k \gamma_k x_2$, with $\gamma_j = \beta$, for $X_j \in N$, and $\gamma_j = \varepsilon$, for $X_j \in T$, $1 \leq j \leq k$.

Indexed Grammars, example

- Derivation relation \Rightarrow : $x \Rightarrow y$, where $x, y \in (NI^* \cup T)^*$,

if either

$$x = x_1 A \beta x_2, \text{ for some } x_1, x_2 \in (NI^* \cup T)^*, A \in N, \beta \in I^*,$$

$$A \rightarrow X_1 \beta_1 X_2 \beta_2 \dots X_k \beta_k \in P,$$

$$y = x_1 X_1 \gamma_1 X_2 \gamma_2 \dots X_k \gamma_k x_2, \text{ with } \gamma_j = \beta_j \beta, \text{ for } X_j \in N, \text{ and } \gamma_j = \varepsilon, \text{ for } X_j \in T, 1 \leq j \leq k$$

or

$$x = x_1 A i \beta x_2, \text{ for some } x_1, x_2 \in (NI^* \cup T)^*, A \in N, i \in I, \beta \in I^*,$$

$$A \rightarrow X_1 X_2 \dots X_k \in i,$$

$$y = x_1 X_1 \gamma_1 X_2 \gamma_2 \dots X_k \gamma_k x_2, \text{ with } \gamma_j = \beta, \text{ for } X_j \in N, \text{ and } \gamma_j = \varepsilon, \text{ for } X_j \in T, 1 \leq j \leq k.$$

- $G = (\{S, A, B\}, \{a, b, c\}, \{f, g\}, P, S)$, where

- $f = \{B \rightarrow b\}$, $g = \{B \rightarrow bB\}$,

- $P = \{S \rightarrow aAfc, A \rightarrow aAgc, A \rightarrow B\}$.

- $S \Rightarrow aAfc \Rightarrow aaAgfcc \Rightarrow \dots \Rightarrow a^n Ag^{n-1} fc^n \Rightarrow$

$$a^n B g^{n-2} fc^n \Rightarrow a^n b B g^{n-2} fc^n \Rightarrow a^n b b B g^{n-3} fc^n \Rightarrow \dots \Rightarrow a^n b^{n-1} B fc^n \Rightarrow a^n b^n c^n$$

- $L(G) = \{a^n b^n c^n \mid n \geq 1\}$.

Indexed Grammars, properties

- $\mathcal{L}(I) = \mathcal{L}(\varepsilon I)$
- $\mathcal{L}(CF) \subsetneq \mathcal{L}(I) \subsetneq \mathcal{L}(CS)$
- The family of global index languages is an Full Abstract Family of Languages.
- Closed under union, concatenation, Kleene-closure and intersection with regular sets.
- Not closed under intersection nor complement.
- Membership problem: NP-complete.
- Emptiness problem: decidable.
- $\mathcal{L}(I)$ can be recognized by nested stack automata.

Global Index Grammars

- Introduced by José M. Castano in 2003.
- $IG \Rightarrow LIG \Rightarrow GIG$
- Uses stack of indices as a global control structure.
- Definition: $G = (N, T, I, S, \#, P)$, where
 - N, T and S are defined as in CFG,
 - I is a set of stack indices,
 - $\#$ is a start stack symbol and
 - P is a finite set of productions having following forms:
 - a.i $A \rightarrow_{\epsilon} \alpha$ (epsilon),
 - a.ii $A \rightarrow_{[y]} \alpha$ (epsilon with constraints),
 - b. $A \rightarrow_x a\beta$ (push),
 - c. $A \rightarrow_{-x} \alpha a\beta$ (pop),

where $x \in I$, $y \in \{I \cup \{\#\}\}$, $A \in N$, $\alpha, \beta \in (N \cup T)^*$ and $a \in T$.

Global Index Grammars, cont.

- $G = (N, T, I, S, \#, P)$, where
 - P is a finite set of productions having following forms:
 - a.i $A \rightarrow_{\epsilon} \alpha$ (epsilon),
 - a.ii $A \rightarrow_{[y]} \alpha$ (epsilon with constraints),
 - b. $A \rightarrow_x a\beta$ (push),
 - c. $A \rightarrow_{\neg x} \alpha a\beta$ (pop),
 where $x \in I$, $y \in \{I \cup \{\#\}\}$, $A \in N$, $\alpha, \beta \in (N \cup T)^*$ and $a \in T$.
- Derivation relation \Rightarrow :
 - a. If $A \rightarrow_{\mu} X_1 \dots X_n$ is a production of type (a.), then:
 - i: $\delta \# \beta A \gamma \Rightarrow_{\epsilon} \delta \# \beta X_1 \dots X_n \gamma$ or
 - ii: $z \delta \# \beta A \gamma \Rightarrow_{[z]} z \delta \# \beta X_1 \dots X_n \gamma$.
 - b. If $A \rightarrow_{\mu} X_1 \dots X_n$ is a production of type (b.), then:

$$\delta \# w A \gamma \Rightarrow_z z \delta \# w X_1 \dots X_n \gamma . \text{ (leftmost derivation)}$$
 - c. If $A \rightarrow_{\mu} X_1 \dots X_n$ is a production of type (c.), then:

$$z \delta \# w A \gamma \Rightarrow_{\neg z} \delta \# w X_1 \dots X_n \gamma . \text{ (leftmost derivation)}$$

$\beta, \gamma \in (N \cup T)^*$, $\delta \in I^*$, $z \in I \cup \{\epsilon\}$, $w \in T^*$ and $X_i \in (N \cup T)$.

Global Index Grammars, example

- $G = (\{S, R, A, B, C, L\}, \{a, b\}, \{i, k\}, S, \#, P)$, where
- $P = \{$
 - $S \rightarrow AS \mid BS \mid C,$
 - $C \rightarrow RC \mid L,$
 - $R \rightarrow_{-i} RA, R \rightarrow_{-k} RB, R \rightarrow_{[\#]} \varepsilon,$
 - $A \rightarrow_i a, B \rightarrow_k b,$
 - $L \rightarrow_{-i} La \mid a, L \rightarrow_{-k} Lb \mid b$ $\}$
- $\#S \Rightarrow \#AS \Rightarrow_i i\#aS \Rightarrow i\#aBS \Rightarrow_k ki\#abS \Rightarrow ki\#abC \Rightarrow ki\#abRC \Rightarrow_{-k} i\#abRBC \Rightarrow_{-i} \#abRABC \Rightarrow_{[\#]} \#abABC \Rightarrow_i i\#abaBC \Rightarrow_k ki\#ababC \Rightarrow ki\#ababL \Rightarrow_{-k} i\#ababLb \Rightarrow_{-i} \#ababab$
- $L(G) = \{ ww^+ \mid w \in \{a, b\}^+ \}$

Global Index Grammars, properties

- $\mathcal{L}(CF) \subsetneq \mathcal{L}(GI) \subsetneq \mathcal{L}(CS)$
- $\mathcal{L}(GI) \subsetneq \mathcal{L}(I) ???$
- The family of global index languages is an Abstract Family of Languages.
- Closed under union, concatenation, Kleene-closure and intersection with regular sets.
- Not closed under intersection nor complement.
- Membership problem computational complexity: ???.
- Emptiness problem: decidable.
- $\mathcal{L}(GI)$ can be recognized using two stack pushdown automata.

Global Index Grammars with regular rules

- Let's have a CFG $G = (N, T, P, S)$ in Chomsky normal form.
- Then we can obtain an equivalent global index grammar

$$G = (N \cup \{X\}, T, I, S, \#, P'), X \notin N,$$

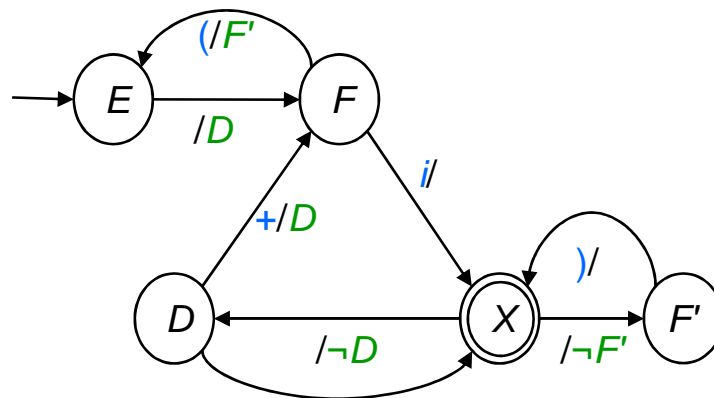
where production set P' and index set I is constructed from P in the following way:

for every rule from P of the form:

- a) $A \rightarrow BC$, add $A \rightarrow_C B$ and $X \rightarrow_{-C} C$ to P' and C to I ,
- b) $A \rightarrow a$, add $A \rightarrow aX$ and $A \rightarrow a$ to P' .

Global Index Grammars with regular rules, example

- Lets have a CFG with following rules:
 1: $E \rightarrow FD$, 2: $D \rightarrow +FD$, 3: $D \rightarrow \varepsilon$, 4: $F \rightarrow (E)$, 5: $F \rightarrow i$.
- An equivalent GIG would have these rules:
 1: $E \xrightarrow{D} F$, $X \xrightarrow{\neg D} D$,
 2: $D \xrightarrow{D} +F$, $X \xrightarrow{\neg D} D$,
 3: $D \rightarrow \varepsilon$, $D \rightarrow X$,
 4: $F \xrightarrow{F'} (E$, $X \xrightarrow{\neg F'} F'$, $F \rightarrow)$, $F \rightarrow)X$,
 5: $F \rightarrow i$, $F \rightarrow iX$.
- Now we can represent this GIG using stack automata:

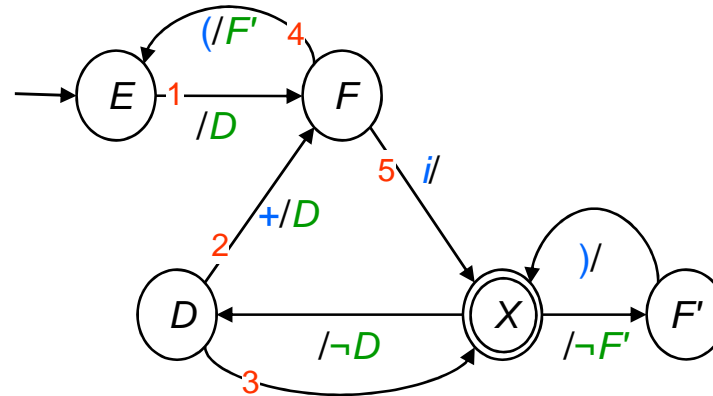


Global Index Grammars with regular rules, example, cont.

1) Original rules:

- 1: $E \rightarrow FD$,
- 2: $D \rightarrow +FD$,
- 3: $D \rightarrow \varepsilon$,
- 4: $F \rightarrow (E)$,
- 5: $F \rightarrow i$.

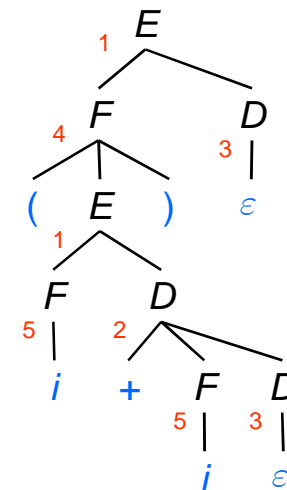
2) Equivalent stack automata:



3) For input string $(i + i)$, this stack automata will have the following sequence of moves, where a configurations has this form: $((\Gamma \cup \{\#\})^* \times Q \times \Sigma^*)$:

- $(\#, E, (i+i)) \mapsto_1 (D\#, F, (i+i)) \mapsto_4 (F'D\#, E, i+i) \mapsto_1$
- $(DF'D\#, F, i+i) \mapsto_5 (DF'D\#, X, +i) \mapsto$
- $(F'D\#, D, +i) \mapsto_2 (DF'D\#, F, i) \mapsto_5$
- $(DF'D\#, X,) \mapsto (F'D\#, D,) \mapsto_3$
- $(F'D\#, X,) \mapsto (D\#, F',) \mapsto$
- $(D\#, X,) \mapsto (\#, D,) \mapsto_3 (\#, X,)$.

4) Left parse **14152533**



Conclusions

- Regulated grammars
- Indexed grammars
- Global index grammars
- Global index grammars with regular rules

References

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- [3] Castano, J. M.: Global Index Languages. PhD. Thesis, The Faculty of the Graduate School of Arts and Sciences, Brandeis University, 2004.