

# Matrix Grammars

Jiří Techet   Tomáš Masopust   Alexander Meduna

Department of Information Systems  
Faculty of Information Technology  
Brno University of Technology  
Božetěchova 2, Brno 61266, Czech Republic

Modern Formal Language Theory, 2007

# Regulated Rewriting

## Example

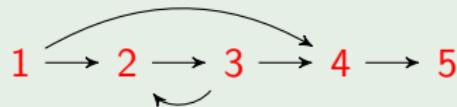
$$1 : S \rightarrow AB$$

$$2 : A \rightarrow aA$$

$$3 : B \rightarrow bBc$$

$$4 : A \rightarrow a$$

$$5 : B \rightarrow bc$$



Example of derivation

$$\begin{aligned} S &\Rightarrow AB [1] \Rightarrow aAB [2] \Rightarrow aAbBc [3] \\ &\Rightarrow aaAbBc [2] \Rightarrow aaAbbBcc [3] \\ &\Rightarrow aaabbBcc [4] \Rightarrow aaabbbccc [5] \end{aligned}$$

Generated language

$$L(G) = \{a^n b^n c^n : n \geq 1\}$$

# Matrix Grammar

## Matrix Grammar

A **matrix grammar** is a pair

$$H = (\textcolor{red}{G}, \textcolor{red}{M}),$$

where

- $\textcolor{red}{G} = (N, T, P, S)$  is a context-free grammar
- $\textcolor{red}{M}$  is a finite language over  $P$  ( $M \subseteq P^*$ )

## Notation

- Let  $N = \{A_1, \dots, A_m\}$  for some  $m \geq 1$
- For some  $m_i = p_{i_1} \dots p_{i_j} \dots p_{i_{k_i}} \in M$ ,

$$p_{\textcolor{red}{i}_j} : A_{i_j} \rightarrow x_{i_j}$$

# Generated Language

## Derivation Step

For  $x, y \in (N \cup T)^*$ ,  $m \in M$ ,

$$x \Rightarrow y [m]$$

in  $H$  if there are  $x_0, \dots, x_n$  such that  $x = x_0$ ,  $x_n = y$ , and

- [1]  $x_0 \Rightarrow x_1 [p_1] \Rightarrow x_2 [p_2] \Rightarrow \dots \Rightarrow x_n [p_n]$  in  $G$ , and
- [2]  $m = p_1 \dots p_n$

## Generated Language

$$L(H) = \{x \in T^* : S \Rightarrow^* x\}$$

# Example I

## Example

$$H = (G, M),$$

where

- $G = (N, T, P, S)$ , where

$$N = \{S, A, B\}$$

$$T = \{a, b, c\}$$

$$\begin{aligned}P = & \{\textcolor{red}{1} : S \rightarrow AB, \\& \textcolor{red}{2} : A \rightarrow aA, \\& \textcolor{red}{3} : B \rightarrow bBc, \\& \textcolor{red}{4} : A \rightarrow a, \\& \textcolor{red}{5} : B \rightarrow bc\}\end{aligned}$$

- $M = \{\textcolor{red}{1}, \textcolor{red}{23}, \textcolor{red}{45}\}$

## Example II

### Example

1 In  $G$ ,

$$\begin{aligned} aAbBc &\Rightarrow aaAbBc \quad [2] \\ &\Rightarrow aaAbbBcc \quad [3] \end{aligned}$$

As  $23 \in M$ ,

$$aAbBc \Rightarrow aaAbbBcc \quad [23]$$

in  $H$

2 In  $G$ ,

$$\begin{aligned} aAbBc &\Rightarrow aaAbBc \quad [2] \\ &\Rightarrow aaAbbcc \quad [5] \end{aligned}$$

As  $25 \notin M$

$$aAbBc \not\Rightarrow aaAbbcc \quad [25]$$

in  $H$

## Example III

### Example

$$S \Rightarrow AB \quad [1]$$

$$\Rightarrow aAbBc \quad [23]$$

$$\Rightarrow aaAbbBcc \quad [23]$$

$$\Rightarrow aaabbbccc \quad [45]$$

in  $H$

$$S \Rightarrow AB \quad [1]$$

$$\Rightarrow aA\textcolor{red}{B} \quad [2]$$

$$\Rightarrow a\textcolor{red}{A}bBc \quad [3]$$

$$\Rightarrow aa\textcolor{red}{A}bBc \quad [2]$$

$$\Rightarrow aa\textcolor{red}{A}bbBcc \quad [3]$$

$$\Rightarrow aaabb\textcolor{red}{B}cc \quad [4]$$

$$\Rightarrow aaabbbccc \quad [5]$$

in  $G$

## Example IV

### Example

By using  $23 \in M$   $n$ -times,  $n \geq 0$

$$\begin{aligned} S &\Rightarrow AB & [1] \\ &\Rightarrow aAbBc & [23] \\ &\Rightarrow aaAbbBcc & [23] \\ &\quad \vdots \\ &\Rightarrow a^nAb^nBc^n & [23] \\ &\Rightarrow a^{n+1}b^{n+1}c^{n+1} & [45] \end{aligned}$$

Generated language

$$L(H) = \{a^m b^m c^m : m \geq 1\}$$

# Example V

## Example

### Claim A

If  $AB \Rightarrow^{\textcolor{red}{n}} x$ , where  $n \geq 1$ , then  $x \in \{a^{\textcolor{red}{n}}b^{\textcolor{red}{n}}c^{\textcolor{red}{n}}, a^{\textcolor{red}{n}}Ab^{\textcolor{red}{n}}Bc^{\textcolor{red}{n}}\}$ .

### Proof by Induction on $n \geq 1$

- Basis:  $\textcolor{red}{n} = 1$ .

$$AB \Rightarrow aAbBc$$

$$AB \Rightarrow abc$$

- Induction Hypothesis:

Assume Claim A holds for all  $n = 1, \dots, \textcolor{red}{k}$ , where  $\textcolor{red}{k}$  is a positive integer.

## Example VI

### Example

#### Proof by Induction on $n \geq 1$

##### ■ Induction Step:

$$AB \Rightarrow^{k+1} x$$

can be rewritten as

$$AB \Rightarrow^k y \Rightarrow x$$

By Induction Hypothesis,  $y \in \{a^k Ab^k Bc^k, a^k b^k c^k\}$ . As  $y \Rightarrow x$ ,  
 $y = a^k Ab^k Bc^k$ ,

$$\begin{aligned}y &\Rightarrow x [23] \text{ and } x = a^{k+1} Ab^{k+1} Bc^{k+1} \\y &\Rightarrow x [45] \text{ and } x = a^{k+1} b^{k+1} c^{k+1}\end{aligned}$$

so  $x \in \{a^{k+1} Ab^{k+1} Bc^{k+1}, a^{k+1} b^{k+1} c^{k+1}\}$

□

## Example VII

### Example

#### Claim B

$$L(H) \subseteq \{a^k b^k c^k : k \geq 1\}.$$

#### Proof

$L(H) = \{x \in T^* : S \Rightarrow^* x\}$ . Every  $S \Rightarrow^* x$  with  $x \in T^*$  has the form

$$S \Rightarrow AB \Rightarrow^* x$$

From Claim A,

$$L(H) \subseteq \{a^k b^k c^k : k \geq 1\}$$



# Example VIII

## Example

### Claim C

For every  $a^n b^n c^n$ , where  $n \geq 1$ ,  $S \Rightarrow^* a^n b^n c^n$ .

### Proof by Induction on $n \geq 1$

- Basis:  $n = 1$ ,  $abc = x$ .

$$\begin{aligned} S &\Rightarrow AB [1] \\ &\Rightarrow abc [45] \end{aligned}$$

- Induction Hypothesis:

Assume Claim C holds for all  $n = 1, \dots, k$ , where  $k$  is a positive integer.

# Example IX

## Example

### Proof by Induction on $n \geq 1$

#### ■ Induction Step:

$$x = a^{k+1}b^{k+1}c^{k+1}$$

Consider  $a^k b^k c^k$ . By Induction Hypothesis,  $S \Rightarrow^* a^k b^k c^k$ . Express this derivation as

$$\begin{aligned} S &\Rightarrow^* a^{k-1} A b^{k-1} B c^{k-1} \\ &\Rightarrow a^k b^k c^k \end{aligned} \quad [45]$$

Then,

$$\begin{aligned} S &\Rightarrow^* a^{k-1} A b^{k-1} B c^{k-1} \\ &\Rightarrow a^k A b^k B c^k \\ &\Rightarrow a^{k+1} b^{k+1} c^{k+1} = x \end{aligned} \quad [23]$$

# Example X

## Example

From Claim B,

$$L(H) \subseteq \{a^k b^k c^k : k \geq 1\}$$

From Claim C,

$$\{a^k b^k c^k : k \geq 1\} \subseteq L(H)$$

Thus,

$$L(H) = \{a^k b^k c^k : k \geq 1\}$$

# Matrix Grammar with Appearance Checking

## Matrix Grammar with Appearance Checking

A **matrix grammar with appearance checking** is a pair

$$H = (\textcolor{red}{G}, \textcolor{red}{M})$$

where

- $\textcolor{red}{G} = (N, T, P, S)$  is a context-free grammar
- $\textcolor{red}{M}$  is a finite language over  $P \times \{-, +\}$

# Derivation Step

## Derivation Step

For  $x, y \in (N \cup T)^*$ ,  $m = (p_1, q_1) \dots (p_n, q_n) \in M$ ,  $p_i \in P$ ,  $q_i \in \{-, +\}$ ,  $i = 1, \dots, n$ ,

$$x \Rightarrow y [m]$$

in  $H$  if there are  $x_0, \dots, x_n$  such that  $x = x_0$ ,  $y = x_n$ , and for  $i = 1, \dots, n$

- either  $x_{i-1} \Rightarrow x_i [p_i]$  in  $G$
- or  $q_i = +$ ,  $x_{i-1} = x_i$ , and  $p_i$  is not applicable to  $x_{i-1}$

# Example I

## Example

$$1 : S \rightarrow a$$

$$2 : S \rightarrow aa$$

$$3 : S \rightarrow AB$$

$$4 : A \rightarrow A, B \rightarrow CC$$

$$5 : A \rightarrow A'C, \underline{B \rightarrow X}$$

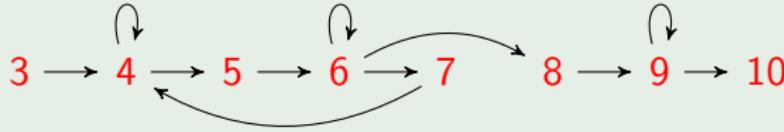
$$6 : A' \rightarrow A', C \rightarrow B$$

$$7 : A' \rightarrow A, \underline{C \rightarrow X}$$

$$8 : A' \rightarrow A'', \underline{C \rightarrow X}$$

$$9 : A'' \rightarrow A'', B \rightarrow a$$

$$10 : A'' \rightarrow a$$



Notes:

Underlined productions are in appearance checking mode (+)

$X$  is a “block” symbol

## Example II

### Example

#### Derivation example

$\dots AB\cancel{BB} \Rightarrow ABCCB [4] \Rightarrow ACCCC\cancel{B} [4] \Rightarrow ACCCCC [4]$   
 $\Rightarrow A'C^7 [5] \Rightarrow A'CCBCCCC [6] \xrightarrow{6} A'B^7 [6\dots 6] \Rightarrow AB^7 [7]$   
 $\Rightarrow \dots$   
 $\Rightarrow A''BBBBBBB [8] \xrightarrow{7} A''aaaaaaaa [9\dots 9] \Rightarrow aaaaaaaaaa [10]$

The generated language is  $L(G) = \{a^{2^i} : i \geq 0\}$

- for  $i \geq 2$ , the derivation can be expressed as

$$\begin{aligned} S &\xrightarrow{3} AB \xrightarrow{4,5} A'C^3 \xrightarrow{6,7} AB^3 \xrightarrow{*} AC^7 \\ &\quad \vdots \\ &\xrightarrow{*} A'B^{2^i-1} \xrightarrow{8} A''B^{2^i-1} \xrightarrow{9,10} a^{2^i} \end{aligned}$$

- for  $i = 1, 2$ ,  $S \Rightarrow a [1]$  and  $S \Rightarrow aa [2]$

# Bibliography



S. Abraham.

Some questions of phrase-structure grammars.

*Computational Linguistics*, 4:61–70, 1965.



J. Dassow and Gh. Păun.

*Regulated Rewriting in Formal Language Theory*.

Akademie-Verlag, Berlin, 1989.