

# Context-Free Grammars

Jiří Techet    Tomáš Masopust    Alexander Meduna

Department of Information Systems  
Faculty of Information Technology  
Brno University of Technology  
Božetěchova 2, Brno 61266, Czech Republic

Modern Formal Language Theory, 2007

# Context-Free Grammar

## Context-Free Grammar

$$G = (N, T, P, S)$$

$N$  alphabet of nonterminals

$T$  alphabet of terminals

$P$  finite set of productions of the form

$$A \rightarrow x$$

with  $A \in N$  and  $x \in (N \cup T)^*$

$S$  the start symbol,  $S \in N$

# Proper Context-Free Grammar

## Useful Symbol

A symbol  $X \in N \cup T$  is **useful** if

1  $S \Rightarrow^* uXv$

2  $X \Rightarrow^* y$

for some  $u, v \in (N \cup T)^*$  and  $y \in T^*$

## Proper Context-Free Grammar

A context-free grammar  $G = (N, T, P, S)$  is **proper** if

1  $N \cup T$  contains **only useful symbols**

2  $G$  is  **$\varepsilon$ -free**

3  $G$  is **unit-free**

# Properties of Proper Context-Free Grammars

## Theorem

*For every context-free language  $L$ , there is a proper context-free grammar  $G$  such that*

$$L - \{\varepsilon\} = L(G)$$

## Claim

If  $G = (N, T, P, S)$  is proper, then for every  $A \in N$

$$S \Rightarrow^* uAy \Rightarrow^* uwy$$

with  $u, w, y \in T^*$

# Weak Pumping Lemma

## Weak Pumping Lemma

Let  $L$  be an infinite context-free language. Then,  $L$  contains a string  $z = uvwxy$  such that

- 1  $uv^iwx^iy \in L$  for every  $i \geq 0$
- 2  $|vx| \geq 1$

# Weak Pumping Lemma – Proof

Let  $G$  be a proper context-free grammar such that  $L = L(G)$

- 1 By contradiction: assume that no derivation in  $G$  contains two identical nonterminals. Then,  $L(G)$  is finite – a contradiction.
- 2 Thus, there is

$$S \Rightarrow^* u' \textcolor{red}{A} y' \Rightarrow^+ u' v' \textcolor{red}{A} x' y' \Rightarrow^* u' v' w x' y'$$

in  $G$ , where  $u', v', x', y' \in (N \cup T)^*$ ,  $\textcolor{red}{A} \in N$ ,  $w \in T^*$ ,  $|v'x'| \geq 1$ . As  $G$  is proper,

$$u' \Rightarrow^* u, v' \Rightarrow^* v, x' \Rightarrow^* x, \text{ and } y' \Rightarrow^* y$$

for some  $u, v, x, y \in T^*$ ,  $|vx| \geq 1$ . Therefore,

$$S \Rightarrow^* u \textcolor{red}{A} y \Rightarrow^+ uv \textcolor{red}{A} xy \Rightarrow^* uvwxy.$$

Thus,  $uv^iwx^iy \in L$  for every  $i \geq 0$ . □

# Weak Pumping Lemma – Example

## Example

Consider  $L = \{a^n b^n c^n : n \geq 0\}$ . By weak pumping lemma,  $L$  contains  $z = uvwx y$  such that  $|vx| \geq 1$  and  $uv^i wx^i y \in L$  for every  $i \geq 0$ .

1 Let  $v$  or  $x$  be in

$$\{a\}^+ \{b\}^+ \cup \{b\}^+ \{c\}^+ \cup \{a\}^+ \{b\}^+ \{c\}^+.$$

Then,  $uvvwxy \notin L$  – contradiction.

2 Let  $v$  or  $x$  be in

$$\{a\}^+ \cup \{b\}^+ \cup \{c\}^+.$$

Then,  $uwy \notin L$  – contradiction. □

# Pumping Lemma

## Pumping Lemma

Let  $L$  be a context-free language. Then, there is  $k \geq 1$  such that for every  $z \in L$  with  $|z| \geq k$ ,

$$z = uvwxy$$

so that

- 1  $vx \neq \varepsilon$
- 2  $|vwx| \leq k$
- 3  $uv^mwx^my \in L$  for all  $m \geq 0$ .



# Pumping Lemma – Example

## Example

Consider  $L = \{a^{n^2} : n \geq 1\}$ . Set  $z = a^{k^2}$ , where  $k$  is the pumping lemma constant. As  $k^2 \geq k$ ,  $|z| \geq k$ . Express  $z$  as

$$z = uvwxy.$$

By pumping lemma,  $uv^2wx^2y \in L$ . Observe that  $|vx| \leq k$ , so

$$\begin{aligned} k^2 = |uvwxy| &< |uv^2wx^2y| = |uvwxy| + |vx| \leq \\ &k^2 + k < k^2 + 2k + 1 = (k+1)^2. \end{aligned}$$

As  $k^2 < |uv^2wx^2y| < (k+1)^2$ ,  $uv^2wx^2y \notin L$  – contradiction.  $L$  is not a context-free language. □

# Homework Assignment

- 1 Establish a pumping lemma for regular languages (based on regular grammars). Use this lemma to prove that some context-free languages are **not** regular.
- 2 By using this lemma, demonstrate that a computer program that decides whether a positive integer  $n$  is prime **cannot** be based on any finite automaton.



A. Meduna.

*Automata and Languages: Theory and Applications.*  
Springer, London, 2000.



G. Rozenberg and A. Salomaa.

*Handbook of Formal Languages*, volume 1–3.  
Springer, Berlin, 1997.



A. Salomaa.

*Formal Languages.*  
Academic Press, New York, 1973.