

# Deep Pushdown Automata

**Alexander Meduna**



**meduna@fit.vutbr.cz**

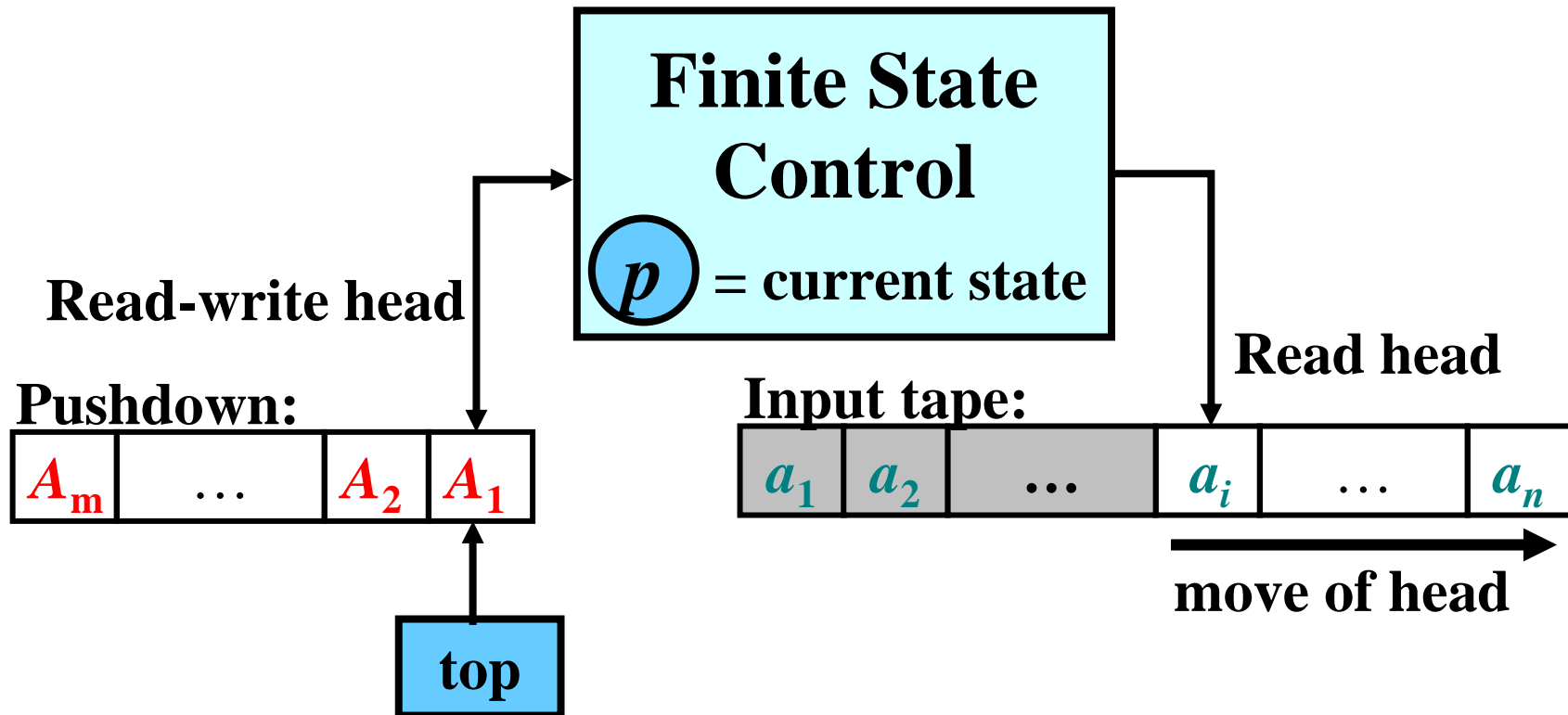
**Brno University of Technology, Czech Republic**

**Based on**

**Meduna, A.: Deep Pushdown Automata,**

*Acta Informatica, 2006*

# Pushdown Automaton (PDA)



# Inspiration

- conversion of CFG to PDA that acts as a general top-down parser
  - if pd top = **input** symbol, **pop**
  - if pd top = **non-input** symbol, **expand**

# PDA as a general Top-Down Parser

- Configuration:

$$(state, input, pd)$$

- Pop:

$$(q, ax, a\alpha) \xrightarrow{p} (q, x, \alpha)$$

- Expansion:

$$(q, x, A\alpha) \xrightarrow{e} (p, x, \beta\alpha)$$

by rule  $qA \rightarrow p\beta$

Final state

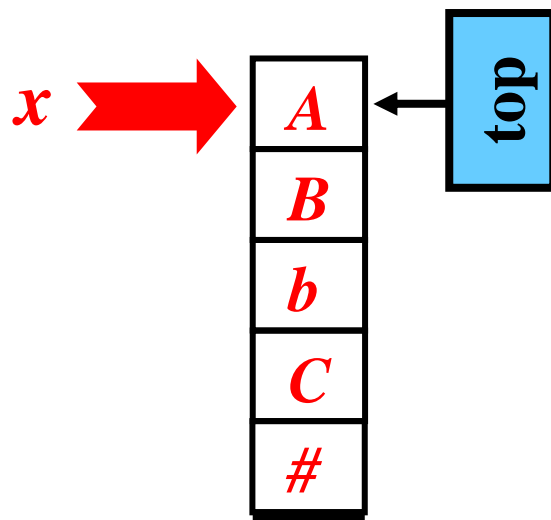
- Acceptance:  $(s, x, S) \Rightarrow^* (f, \varepsilon, \varepsilon)$

# Deep Pushdown Automata: Fundamental Modification

- expansion may be performed **deeper in pd**

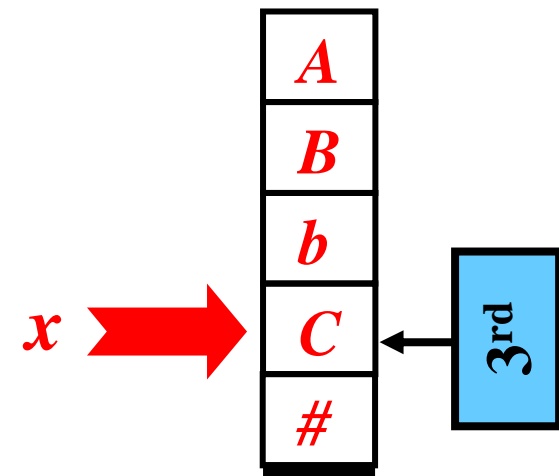
Standard Expansion

$qA \rightarrow px$



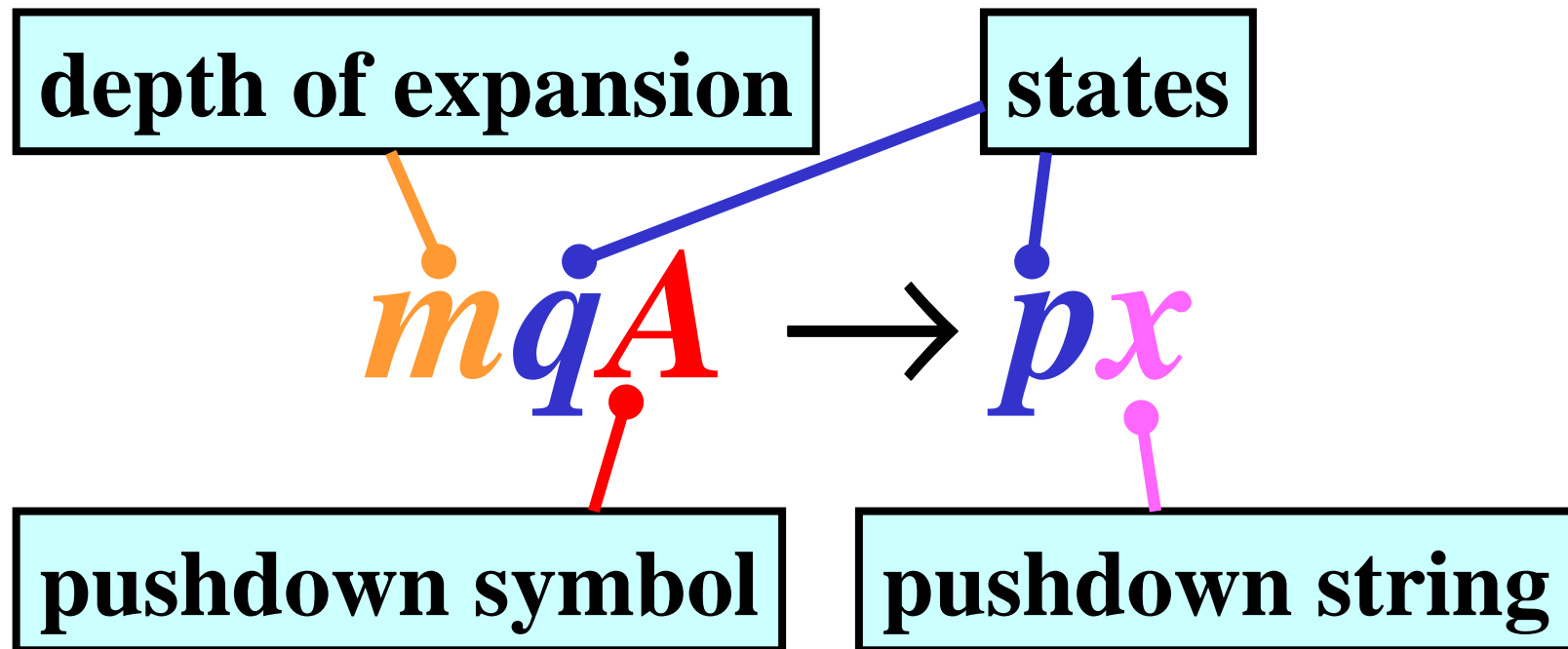
Deep Expansion

$3qC \rightarrow px$



# Deep Pushdown Automata

- Same as Top-Down Parser except *deep expansions*
- *Expansion of depth  $m$* :
  - the  $m$ th topmost non-input pd symbol is replaced with a string by rule



## Expansion of Depth $m$

• *Expansion of depth  $m$ :*

$$(q, w, uAz) \xrightarrow{e} (p, w, uvz)$$

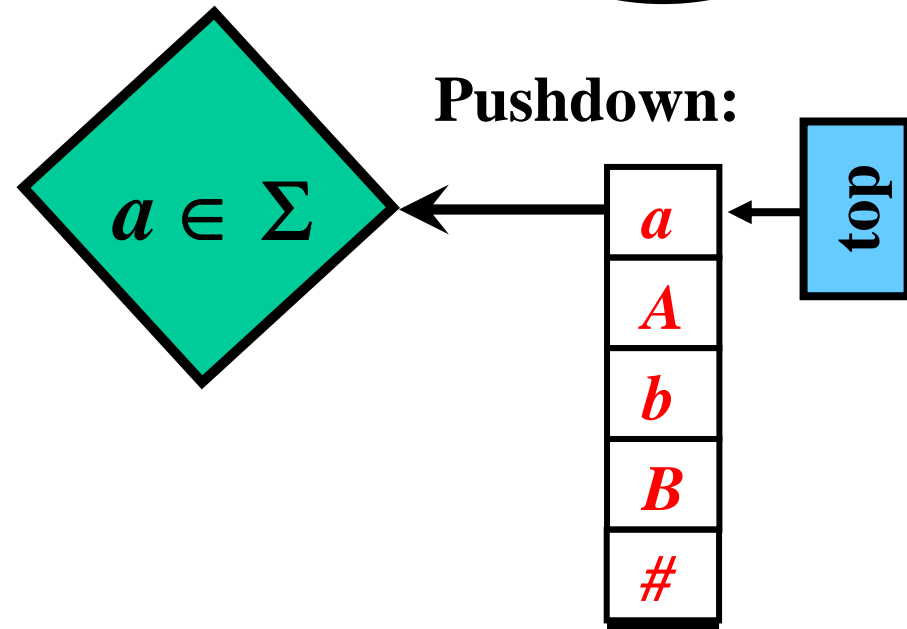
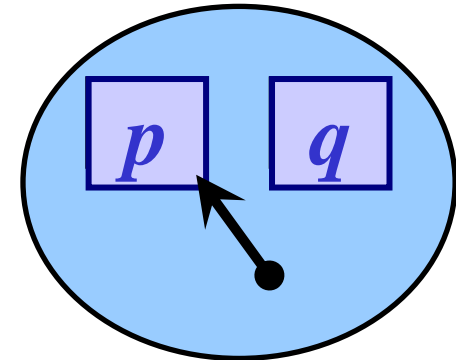
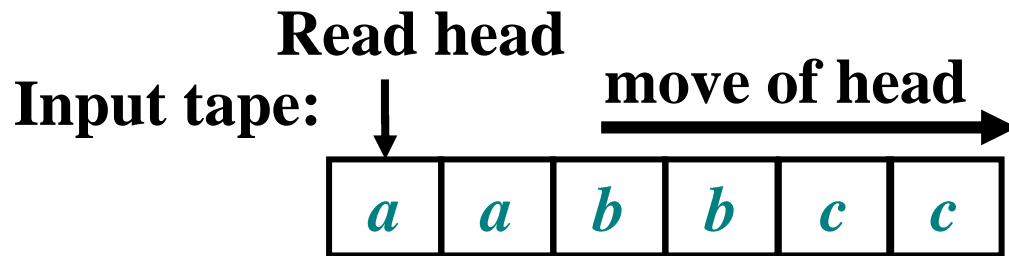
by *rule of depth  $m$*

$$[mqA \rightarrow pv],$$

where  $u$  contains  $m - 1$  non-input symbols

# Pop: Illustration

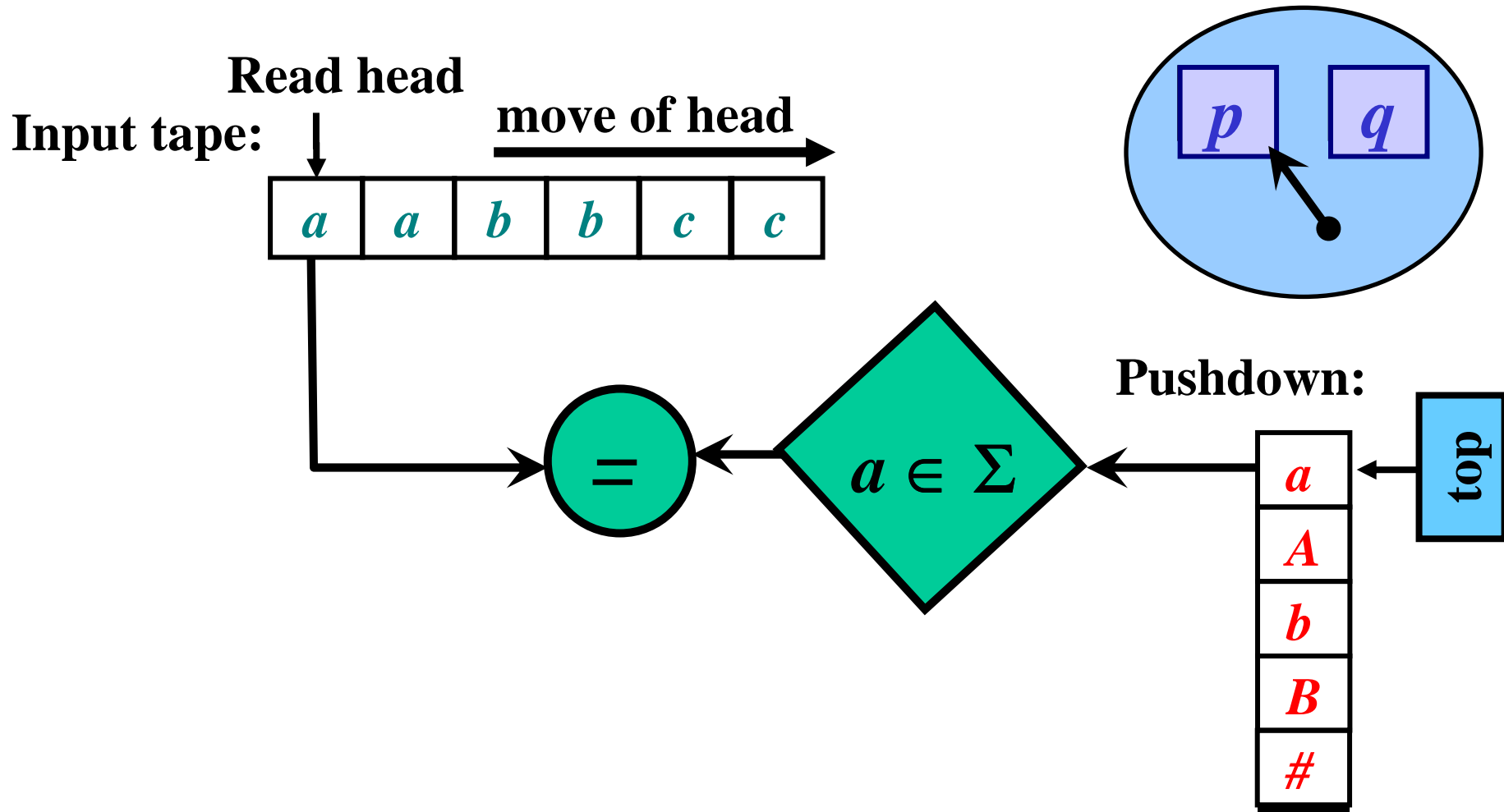
**Move:**  $(p, aabbcc, aAbB\#)_p \Rightarrow (p, abbcc, AbB\#)$





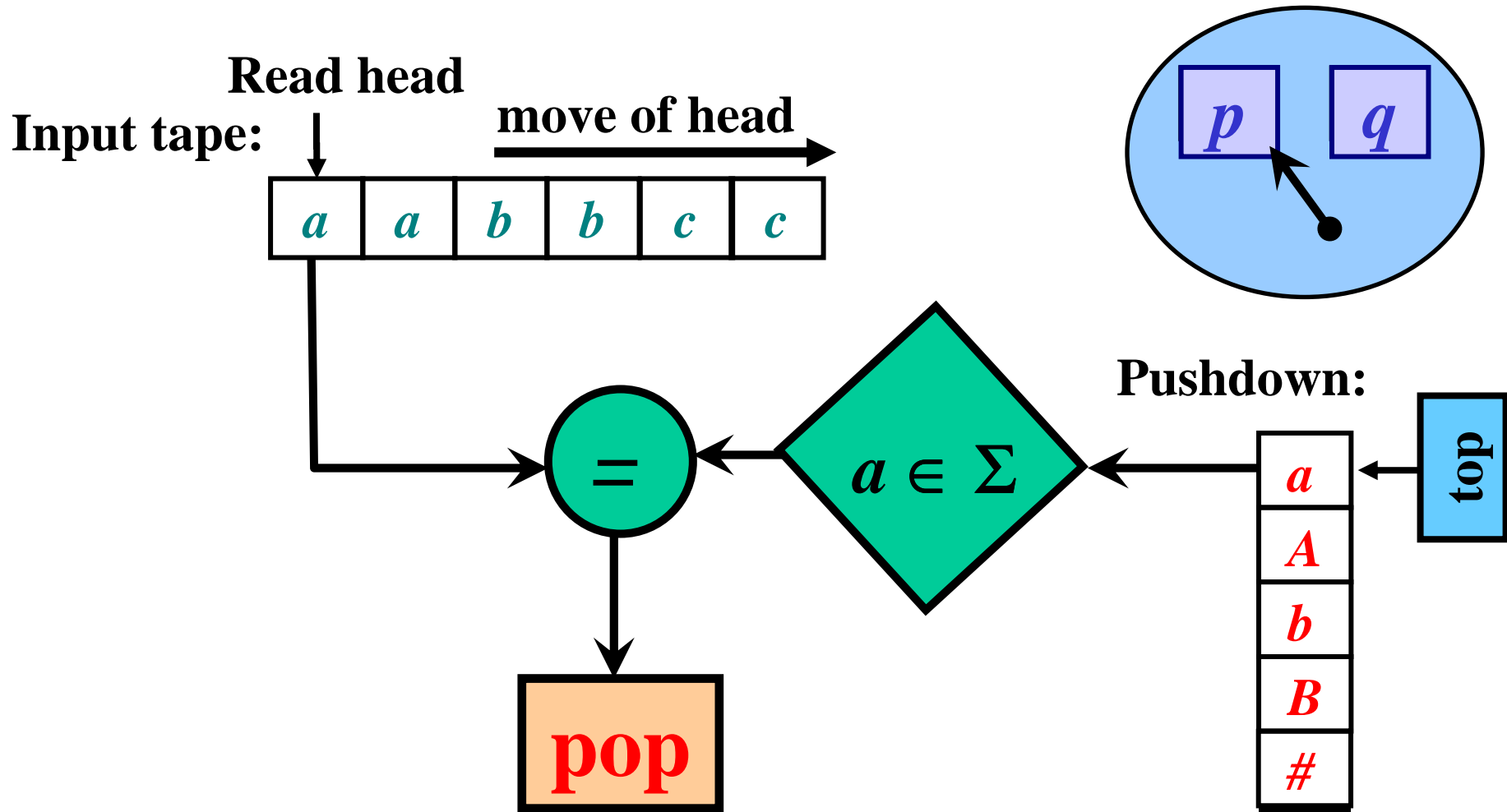
# Pop: Illustration

**Move:**  $(p, aabbcc, aAbB\#)_p \Rightarrow (p, abbcc, AbB\#)$



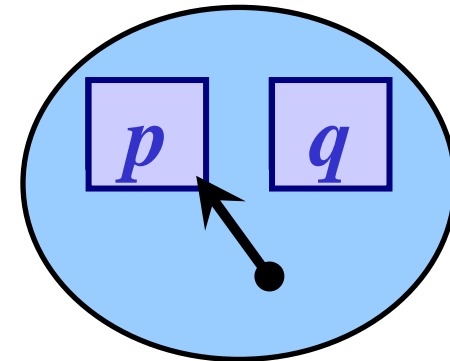
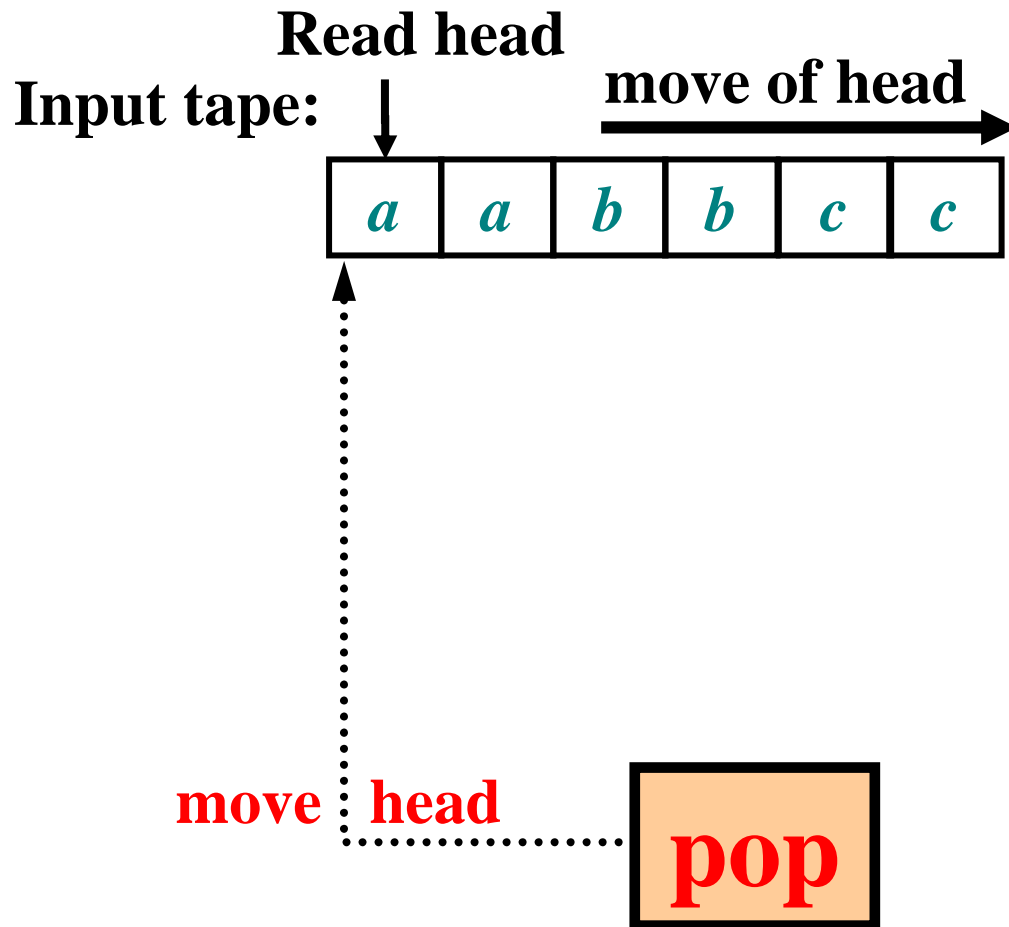
# Pop: Illustration

**Move:**  $(p, aabbcc, aAbB\#)_p \Rightarrow (p, abbcc, AbB\#)$

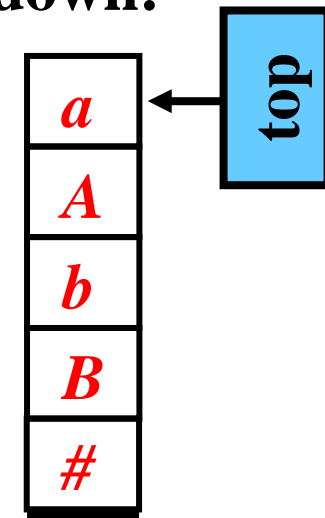


# Pop: Illustration

**Move:**  $(p, aabbcc, aAbB\#)_p \Rightarrow (p, abbcc, AbB\#)$

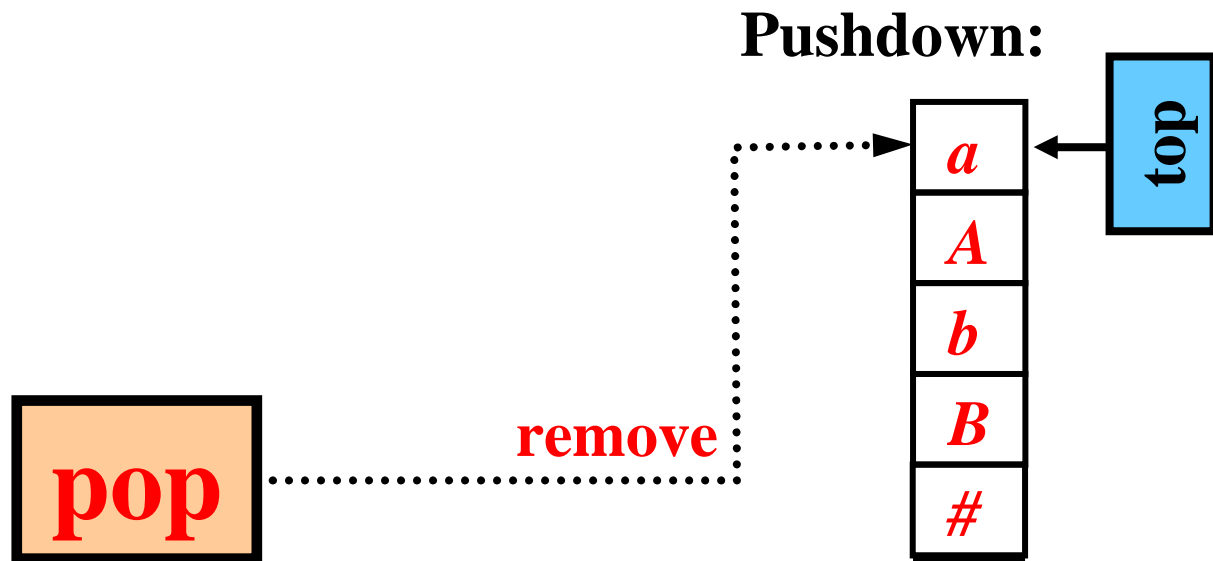
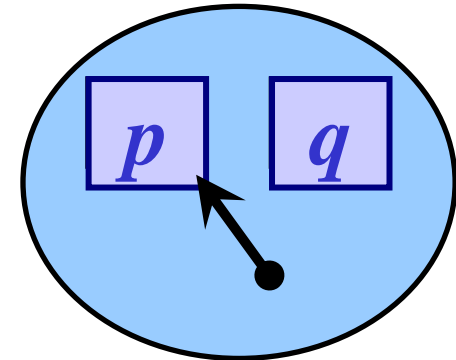
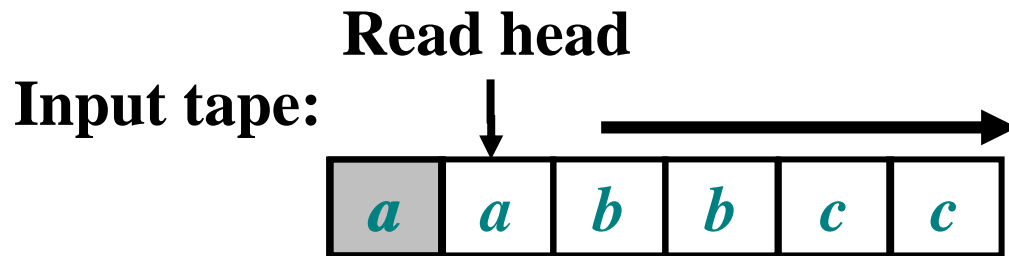


Pushdown:



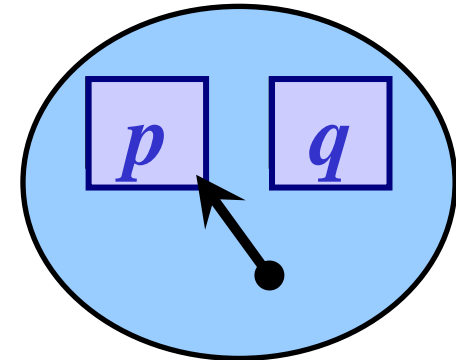
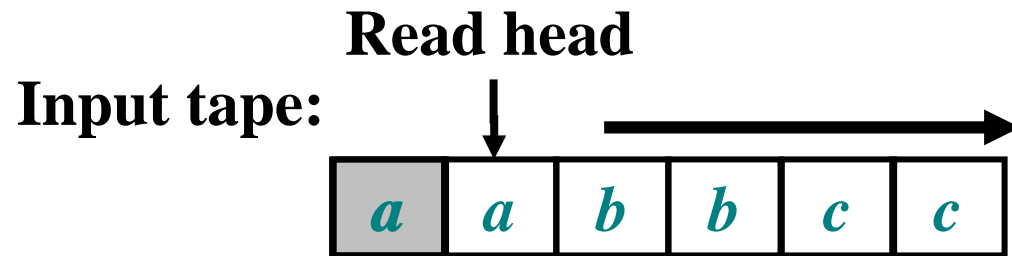
# Pop: Illustration

**Move:**  $(p, aabbcc, aAbB\#)_p \Rightarrow (p, abbcc, AbB\#)$



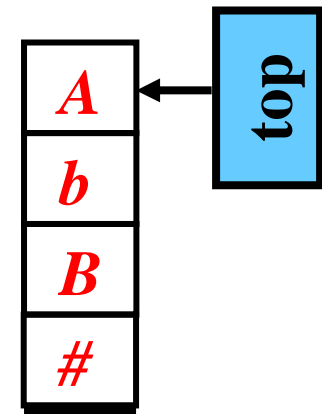
# Pop: Illustration

**Move:**  $(p, aabbcc, aAbB\#)_p \Rightarrow (p, abbcc, AbB\#)$



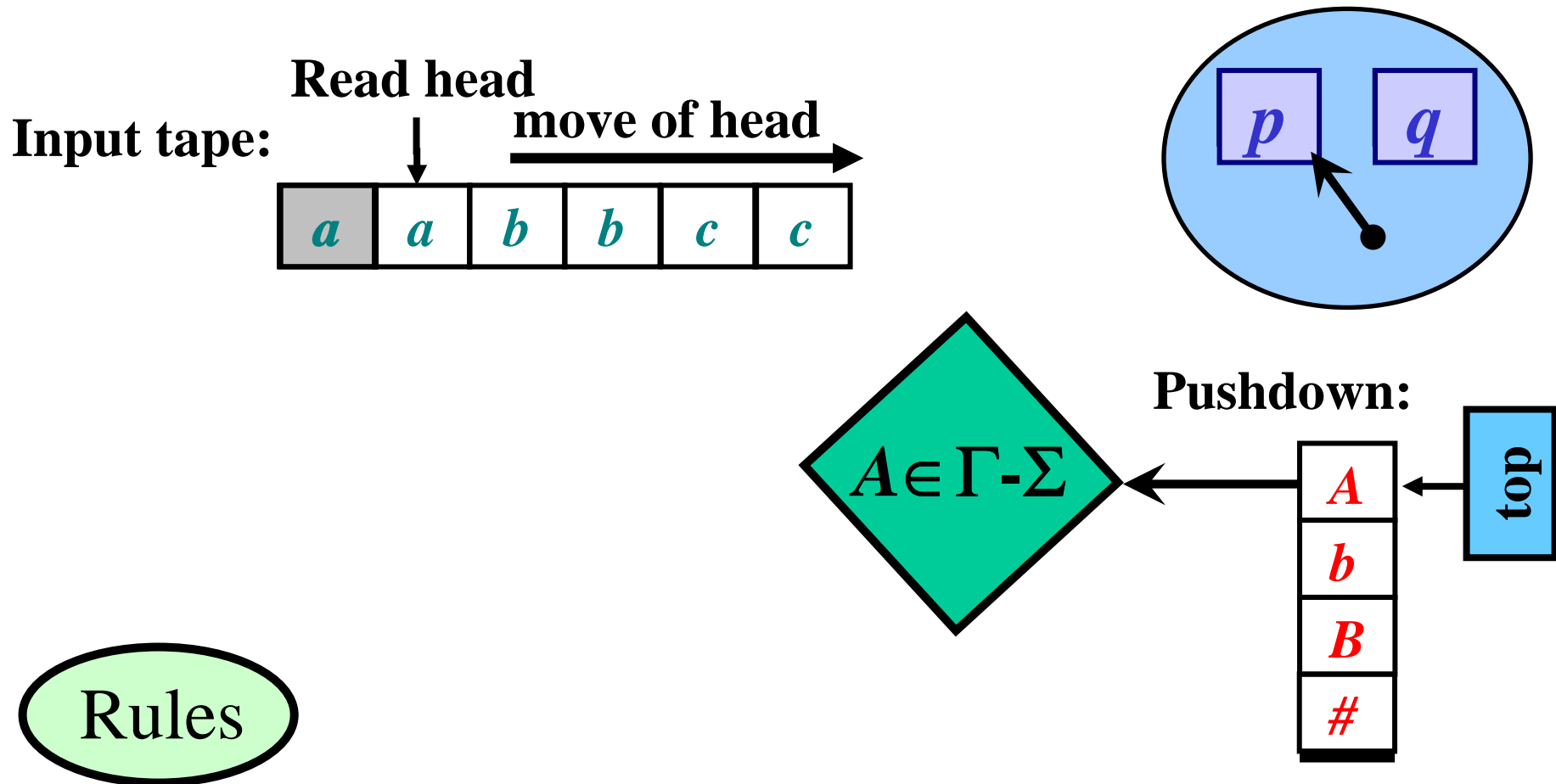
**Pushdown:**

pop ✓



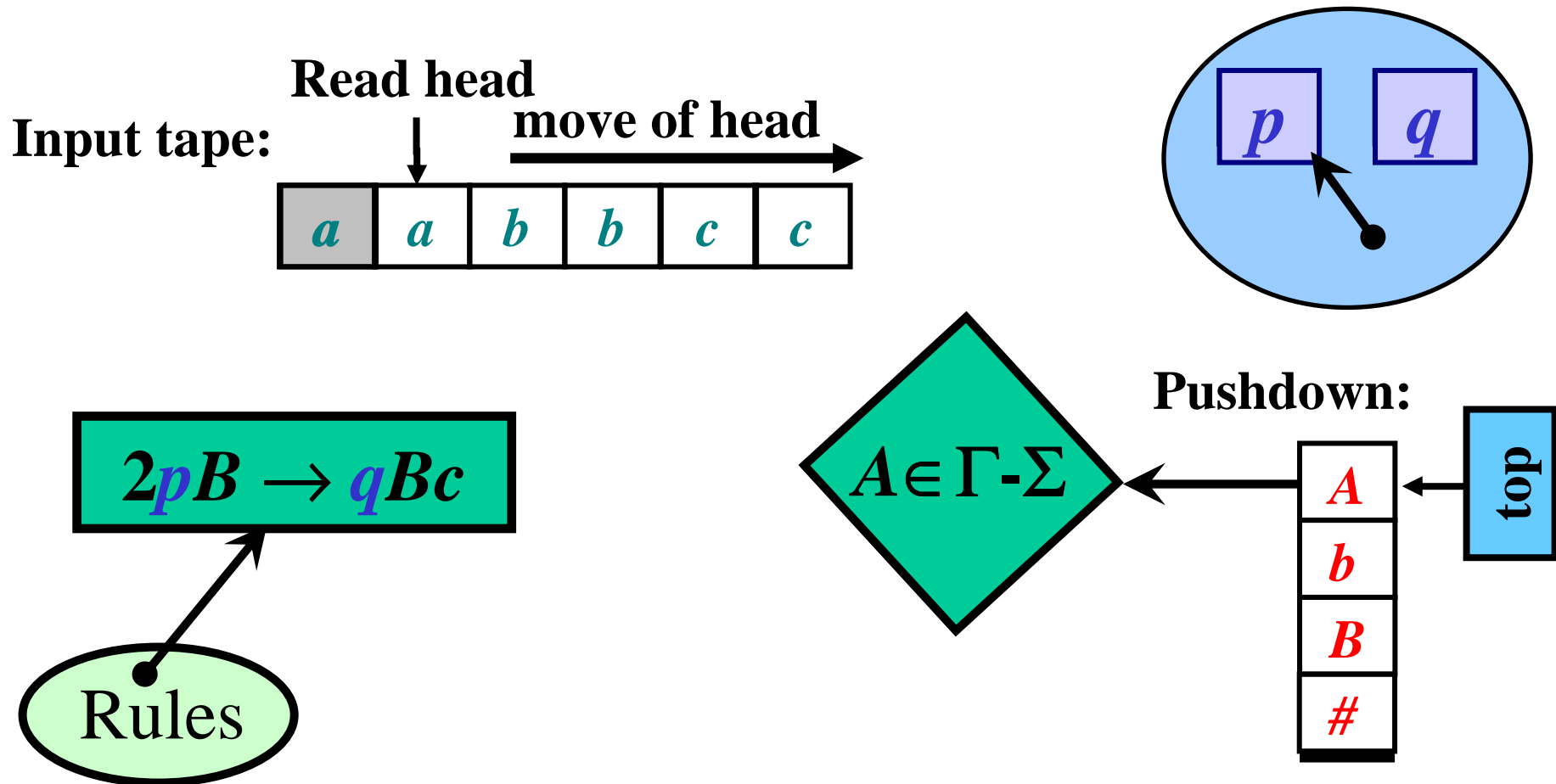
# Deep Expansion: Illustration

Move:  $(p, abbcc, AbB\#) \Rightarrow (q, abbcc, AbBc\#)$   $[2pB \rightarrow qBc]$



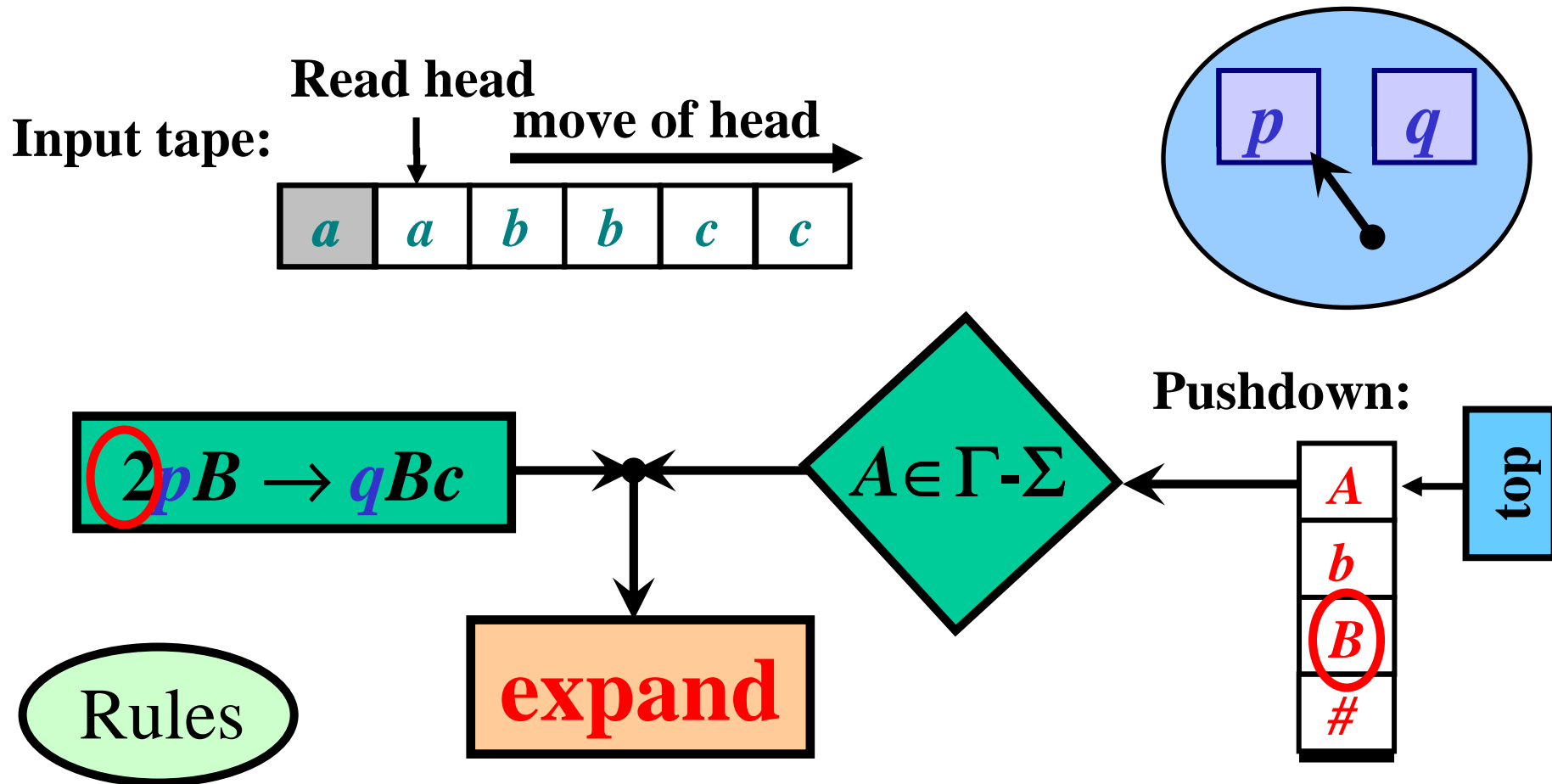
# Deep Expansion: Illustration

**Move:**  $(p, abbcc, AbB\#) \Rightarrow (q, abbcc, AbBc\#)$  [ $2pB \rightarrow qBc$ ]



# Deep Expansion: Illustration

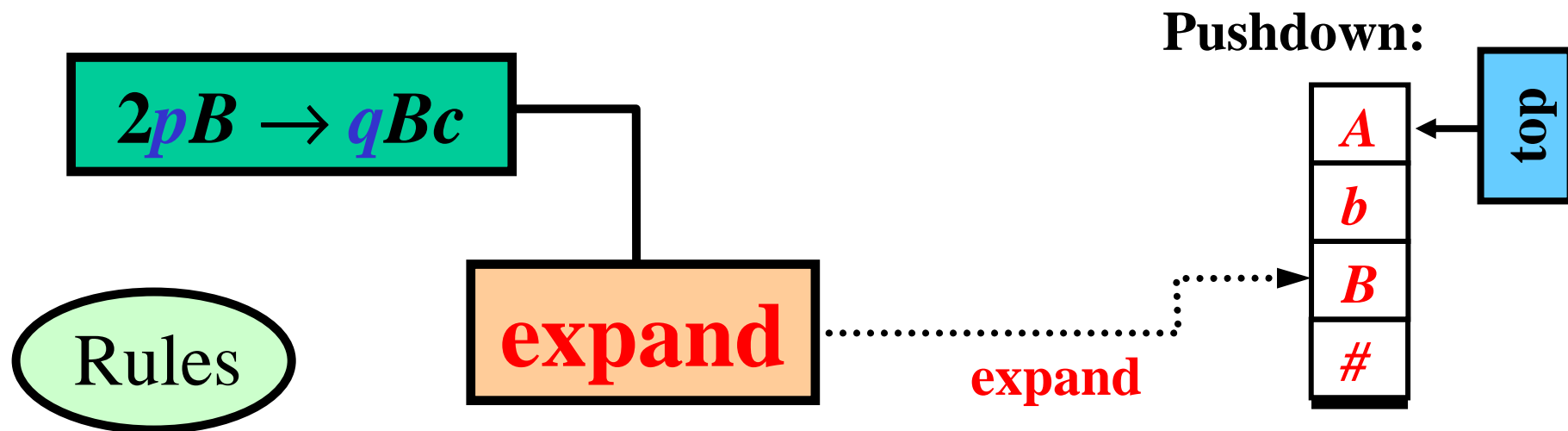
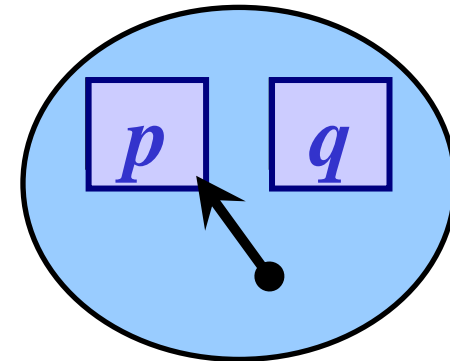
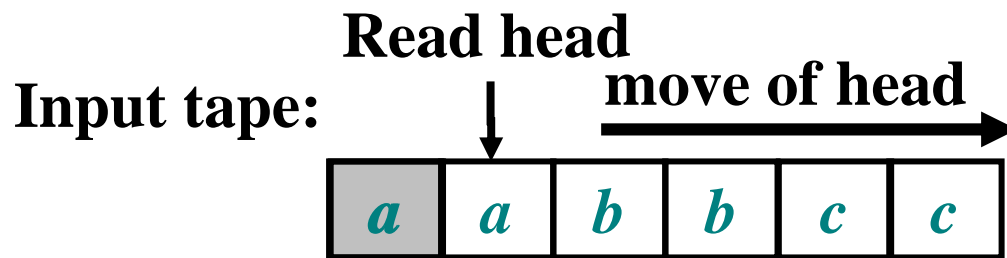
Move:  $(p, abbcc, AbB\#) \Rightarrow (q, abbcc, AbBc\#)$  [ $2pB \rightarrow qBc$ ]





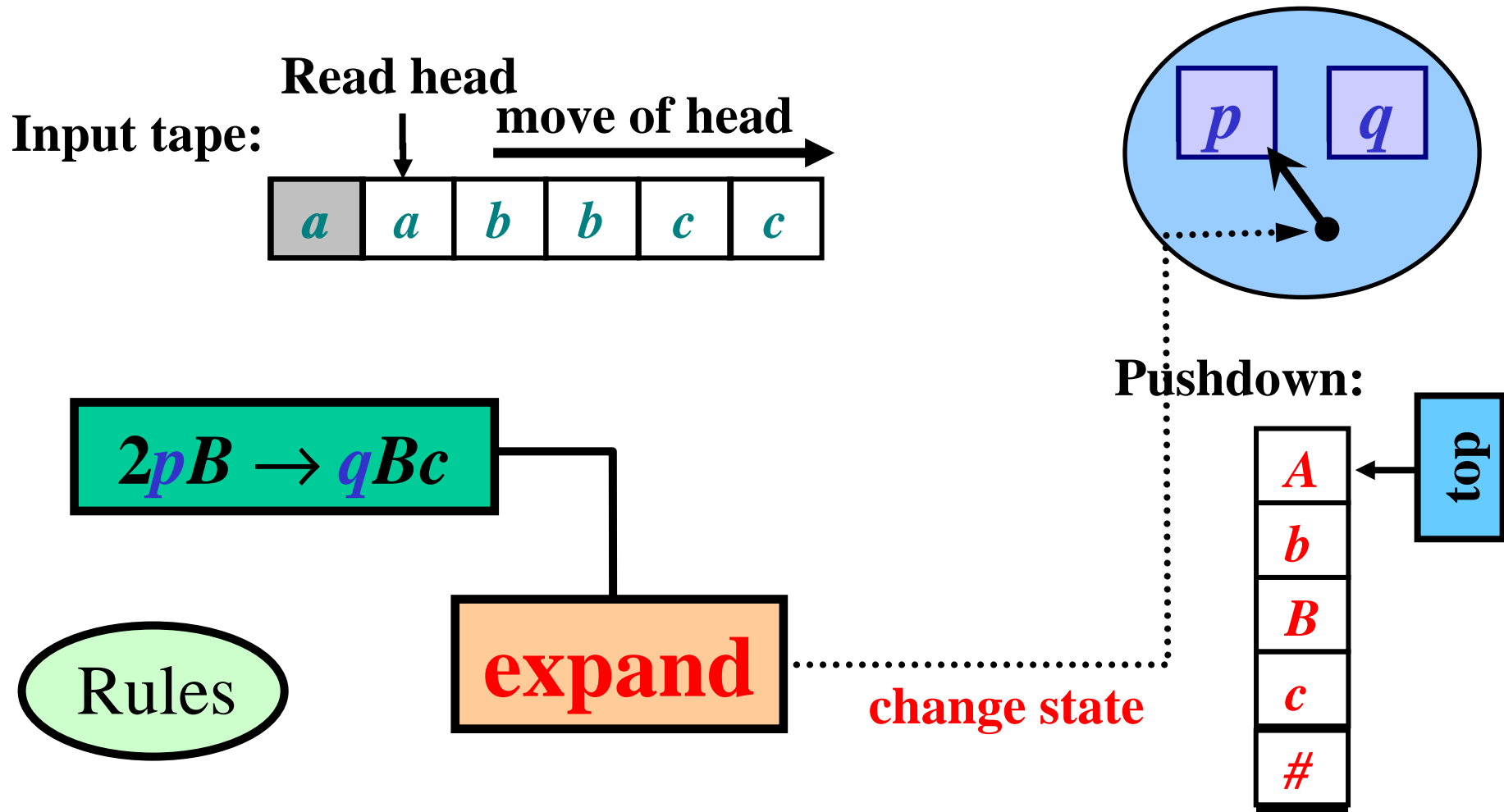
# Deep Expansion: Illustration

Move:  $(p, abbcc, AbB\#) \Rightarrow (q, abbcc, AbBc\#)$  [ $2pB \rightarrow qBc$ ]



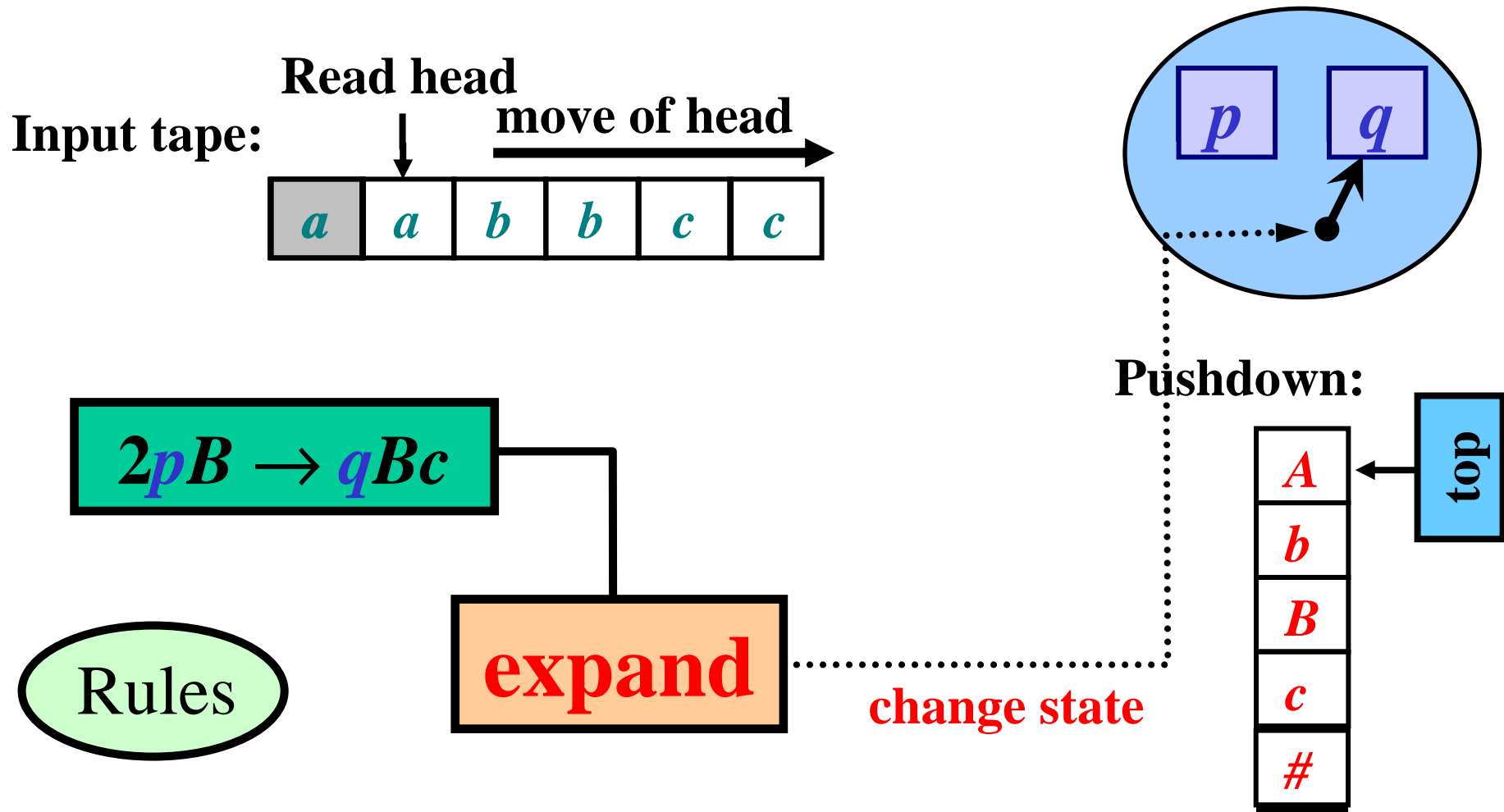
# Deep Expansion: Illustration

Move:  $(p, abbcc, AbB\#) \Rightarrow (q, abbcc, AbBc\#)$  [ $2pB \rightarrow qBc$ ]



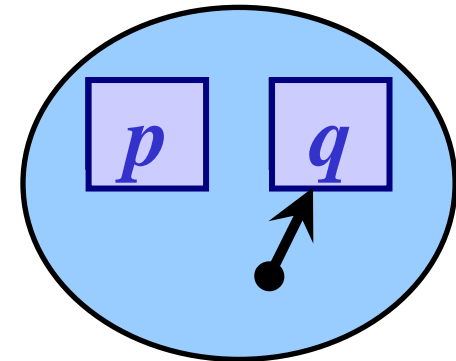
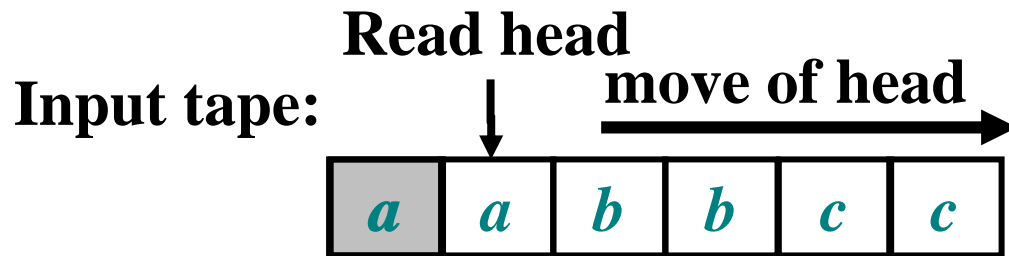
# Deep Expansion: Illustration

Move:  $(p, abbcc, AbB\#) \Rightarrow (q, abbcc, AbBc\#)$  [ $2pB \rightarrow qBc$ ]



# Deep Expansion: Illustration

Move:  $(p, abbcc, AbB\#) \Rightarrow (q, abbcc, AbBc\#)$  [ $2pB \rightarrow qBc$ ]

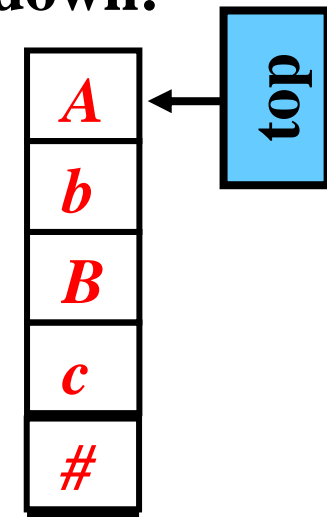


$2pB \rightarrow qBc$

Rules

expand ✓

Pushdown:



# Example: Deep PDA

**Deep PDA  $M$ :**

- [1].  $1sS \rightarrow qAB$
- [2].  $1qA \rightarrow paAb$
- [3].  $1qA \rightarrow fab$
- [4].  $2pB \rightarrow qBc$
- [5].  $1fB \rightarrow fc$

**$M$  accepts  $aabbcc$ :**

$(s, aabbcc, S\#)$

- $e \Rightarrow (q, aabbcc, AB\#)$  [1]
- $e \Rightarrow (p, aabbcc, aAbB\#)$  [2]
- $p \Rightarrow (p, abbcc, AbB\#)$
- $e \Rightarrow (q, abbcc, AbBc\#)$  [4]
- $e \Rightarrow (f, abbcc, abbBc\#)$  [3]
- $p \Rightarrow (f, bbcc, bbBc\#)$
- $p \Rightarrow^2 (f, cc, Bc\#)$
- $e \Rightarrow (f, cc, cc\#)$  [5]
- $p \Rightarrow (f, c, c\#)$
- $p \Rightarrow (f, \varepsilon, \#)$

$$L(M) = \{a^n b^n c^n : n \geq 1\} \in PD_2$$

## Definition 1/3

*A deep pushdown automaton* is a 7-tuple

$$M = (Q, \Sigma, \Gamma, R, s, S, F), \text{ where}$$

- $Q$  – states,
- $\Sigma \subseteq \Gamma$  – input alphabet,
- $\Gamma$  – pushdown alphabet, bottom symbol  $\# \in \Gamma - \Sigma$
- $R$  – finite set of rules of the form

$$mqA \rightarrow pw \text{ or } mq\# \rightarrow pv\#$$

- $s \in Q$  – start state
- $S \in \Gamma$  – start pushdown symbol
- $F \subseteq Q$  – final states

## Definition 2/3

- if an input symbol is on pd top,  **$M$  pops** the pd as

$$(q, au, az)_p \Rightarrow (q, u, z), \quad a \in \Sigma$$

- no explicit rule needed in  $R$

- if a non-input symbol is on pd top,  **$M$  expands** the pd as

$$(q, w, uAz)_e \Rightarrow (p, w, uvz) [mqA \rightarrow pv],$$

where  $u$  contains  $m - 1$  non-input symbols

## Definition 3/3

- $M$  is *of depth  $n$* , denoted by  ${}_nM$ , if  $n$  is the minimal positive integer such that each of  $M$ 's rules is of depth  $n$  or less.

- Language accepted by  ${}_nM$ ,  $L({}_nM)$ , is defined as
 
$$L({}_nM) = \{w \in \Sigma^* : (s, w, S\#) \Rightarrow^* (f, \varepsilon, \#) \text{ in } {}_nM \text{ with } f \in F\}.$$



## Main Result and its Proof

- $PD_n$  – the language family defined by DeepPDAs of depth  $n$ .

**Theorem:  $PD_n \subset PD_{n+1}$ , for all  $n \geq 1$ .**

### Proof (Sketch):

- State grammars (Kasai, 1970) are needed in the proof
- State grammar is a modification of CFG based on rules of the form

$$(q, A) \rightarrow (p, v)$$

# Proof 1/6: State Grammar

- *State grammar*  $G = (V, W, T, P, S)$

- $V$  – total alphabet,  $W$  – states,  $T \subseteq V$  – terminals,
- $P$  – set of rules of the form  $(q, A) \rightarrow (p, v)$
- $S \in (V - T)$  – start symbol,

- *Configuration* –  $(q, x)$

- *Derivation step:*

$$(q, uAz) \Rightarrow (p, uvz) [(q, A) \rightarrow (p, v)]$$

and for every nonterminal  $B$  in  $u$ ,  $P$  contains no rule with  $(q, B)$  on the left-hand side

## Proof 2/6: $n$ -limited Step

- *$n$ -limited derivation step:*  
each derivation step within the first  $n$  non-terminals

$$(q, uAz) \xRightarrow{n} (p, uvz) \text{ and}$$

$uA$  has  $n$  or fewer non-terminals

- *$n$ -limited state language:*

$$L(G, n) = \{w \in T^* : (q, S) \xRightarrow{n}^* (p, w)\}$$

- $ST_n$  – the family of  $n$ -limited state languages

## Proof 3/6: Example

**State Grammar  $G$ :**

- [1]. (1,  $S$ )  $\rightarrow$  (2,  $AC$ )
- [2]. (2,  $A$ )  $\rightarrow$  (3,  $aAb$ )
- [3]. (2,  $A$ )  $\rightarrow$  (4,  $ab$ )
- [4]. (3,  $C$ )  $\rightarrow$  (2,  $Cc$ )
- [5]. (4,  $C$ )  $\rightarrow$  (4,  $c$ )

$$W = \{1, 2, 3, 4\}$$

**$G$  generates  $aabbcc$ :**

$$\begin{aligned}
 (S, 1) &\Rightarrow (AC, 2) && [1] \\
 &\Rightarrow (aAbC, 3) && [2] \\
 &\Rightarrow (aAbCc, 2) && [4] \\
 &\Rightarrow (aabbCc, 4) && [3] \\
 &\Rightarrow (aabbcc, 4) && [5]
 \end{aligned}$$

$$L(G) = \{a^n b^n c^n : n \geq 1\} \in ST_2$$

## Proof 4/6: $PD_n \subseteq ST_n, n \geq 1$

- $G$  simulates the application of  $ipA \rightarrow qy \in R$ :
  - make a left-to-right scan of the pd until the  $i$ th occurrence of a non-terminal
  - if  $X_i = A$ , then replace  $A$  with  $y$  and return to the beginning of the sentential form
  - rightmost symbol is always a special  $a'$ , and  $G$  completes the simulation by changing  $a'$  to  $a$

**Proof 5/6:  $ST_n \subseteq PD_n, n \geq 1$** 

- ${}_nM$  simulates  $G$ 's  $n$ -limited derivations in pd:
  - always records the first  $n$  non-terminals from current  $G$ 's sentential form in its state
  - fewer than  $n$  non-terminals are extended by #s
  - reads the string, empties pd, enters  $\$ \in F$

Proof 6/6:  $PD_n \subset PD_{n+1}$ ,  $n \geq 1$

1) As  $PD_n \subseteq ST_n$  and  $ST_n \subseteq PD_n$   
for all  $n \geq 1$ ,  $ST_n = PD_n$ .

2) Kasai (1970):  $ST_n \subset ST_{n+1}$ , for all  $n \geq 1$ .

---

For all  $n \geq 1$ ,  $PD_n = ST_n \subset ST_{n+1} = PD_{n+1}$

Q. E. D.

Note:  $PD_n \subset CS, n \geq 1$

**For every  $n \geq 1$ , there exists a context-sensitive language  $L$  not included in  $PD_n$ .**



# Open Problem Areas

- Determinism
- Rules of form  $m q A \rightarrow p \varepsilon$

## Discussion