

# Deep Pushdown Automata

## Alexander Meduna



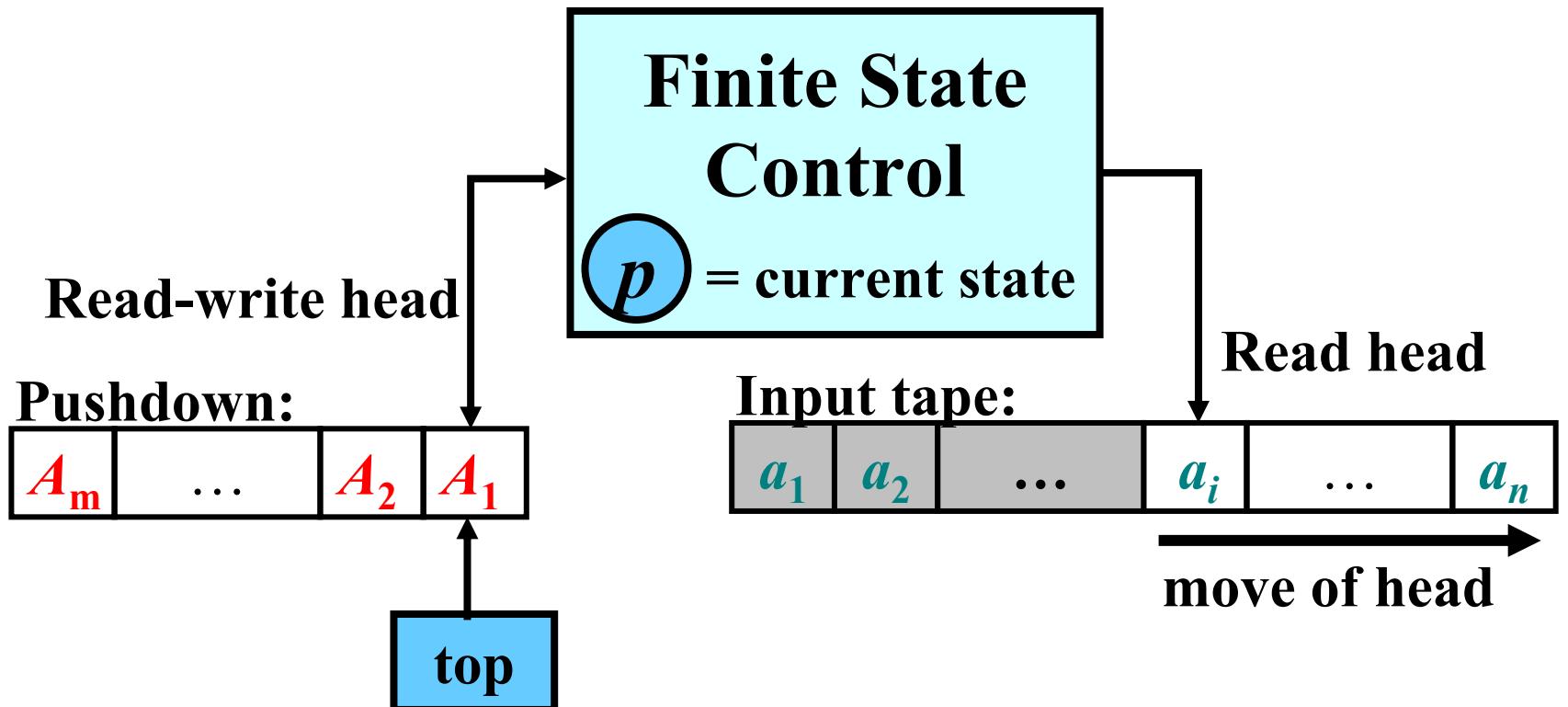
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Based on

Meduna, A.: Deep Pushdown Automata,  
*Acta Informatica*, 2006

# Pushdown Automaton (PDA)



# Inspiration

- conversion of CFG to PDA that acts as a general top-down parser
  - if pd top = **input** symbol, **pop**
  - if pd top = **non-input** symbol, **expand**

# PDA as a general Top-Down Parser

- Configuration:

$$(state, input, pd)$$

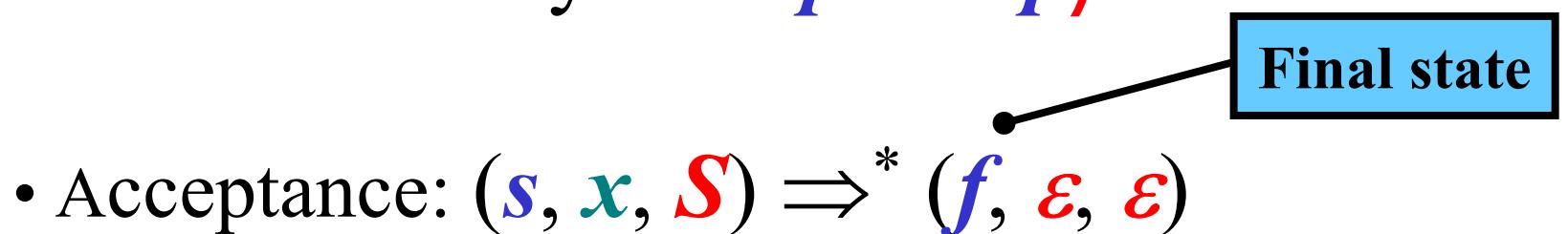
- Pop:

$$(q, ax, a\alpha) \xrightarrow{p} (q, x, \alpha)$$

- Expansion:

$$(q, x, A\alpha) \xrightarrow{e} (p, x, \beta\alpha)$$

by rule  $qA \rightarrow p\beta$



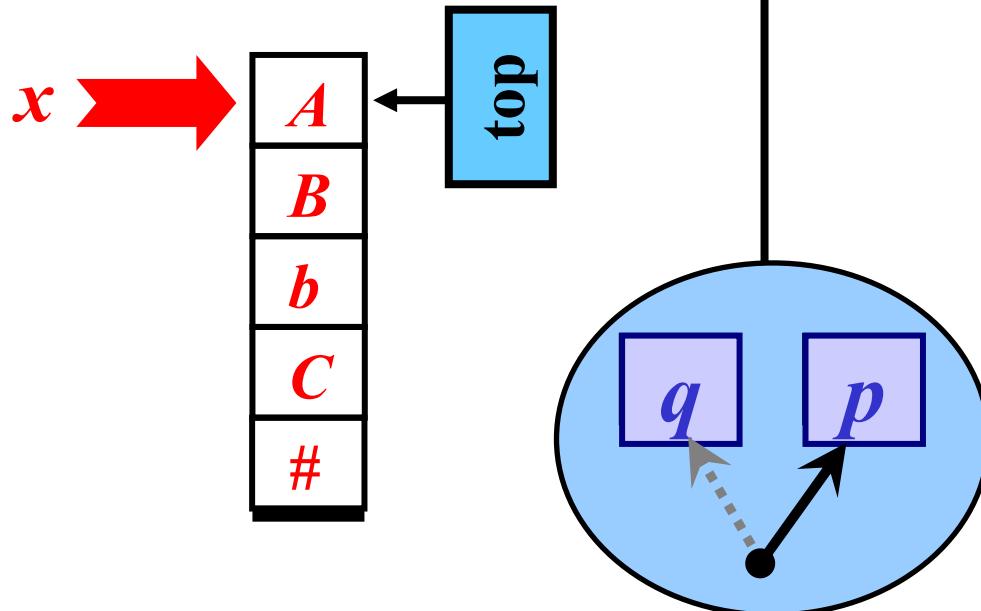
- Acceptance:  $(s, x, S) \xrightarrow{*} (f, \varepsilon, \varepsilon)$

# Deep Pushdown Automata: Fundamental Modification

- expansion may be performed **deeper in pd**

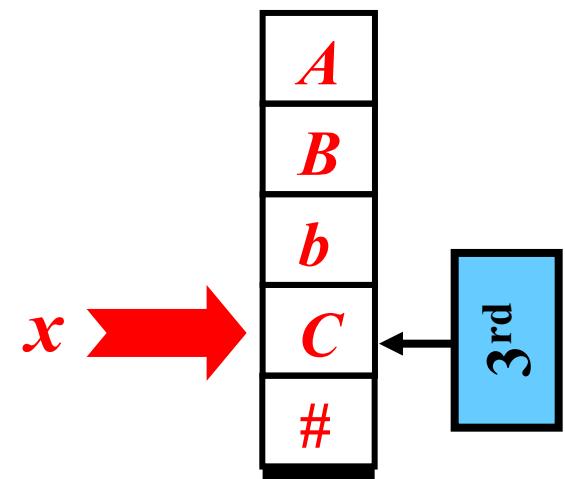
Standard Expansion

$$qA \rightarrow px$$



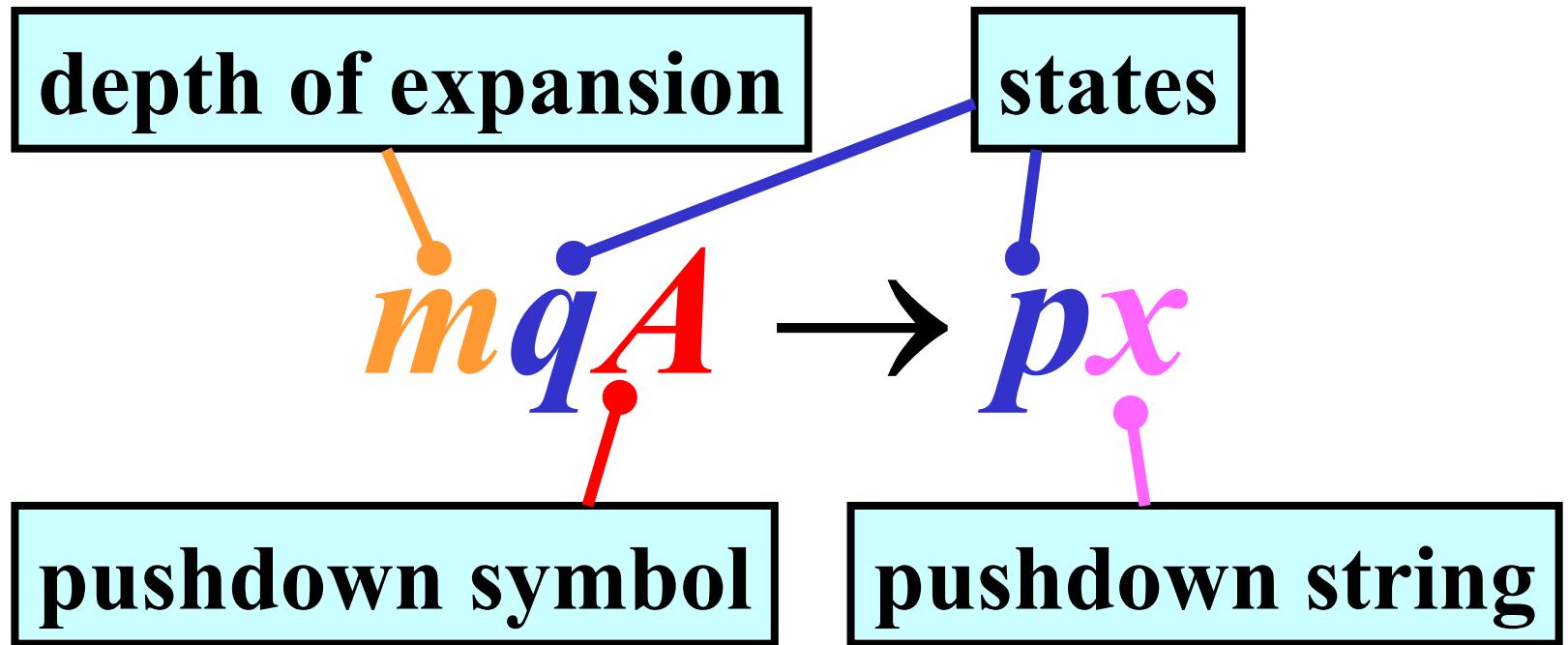
Deep Expansion

$$3qC \rightarrow px$$



# Deep Pushdown Automata

- Same as Top-Down Parser except *deep expansions*
- *Expansion of depth  $m$ :*
  - the  $m$ th topmost non-input pd symbol is replaced with a string by rule



# Expansion of Depth $m$

- *Expansion of depth  $m$ :*

$(q, w, uAz)_e \Rightarrow (p, w, uvz)$

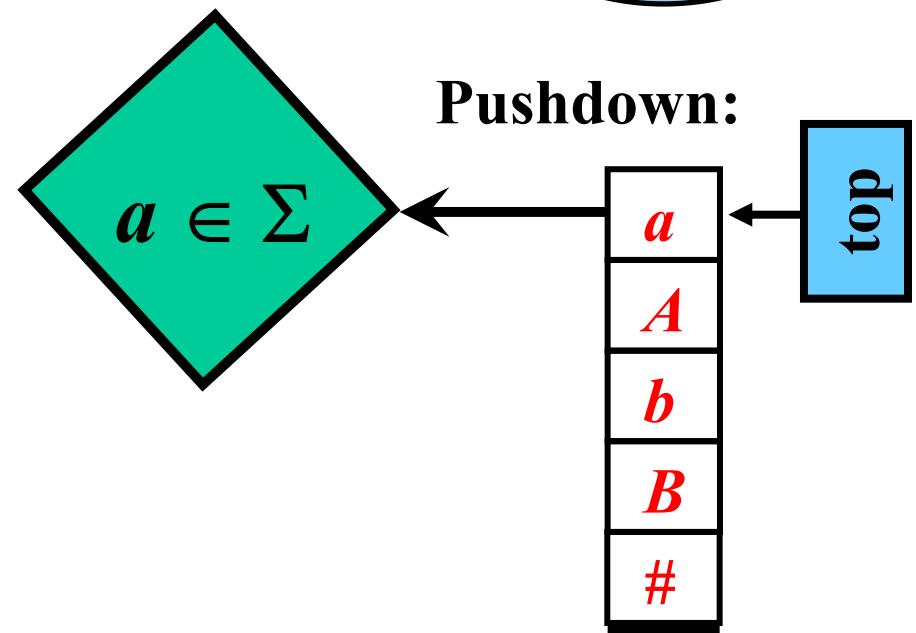
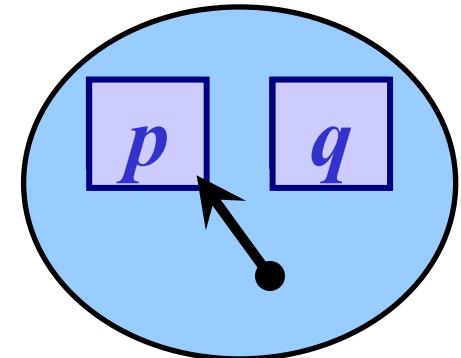
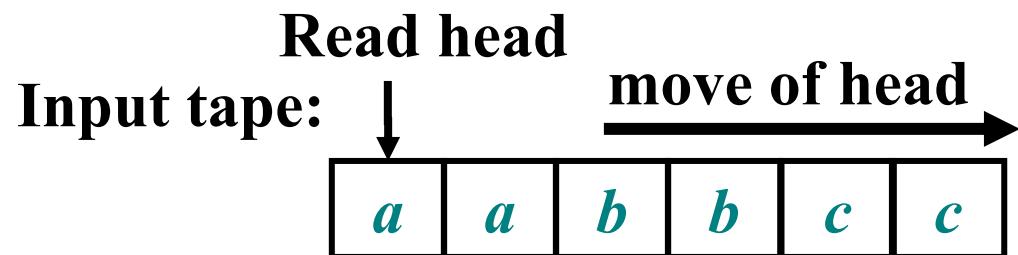
by *rule of depth  $m$*

$[mqA \rightarrow p v],$

where  $u$  contains  $m - 1$  non-input symbols

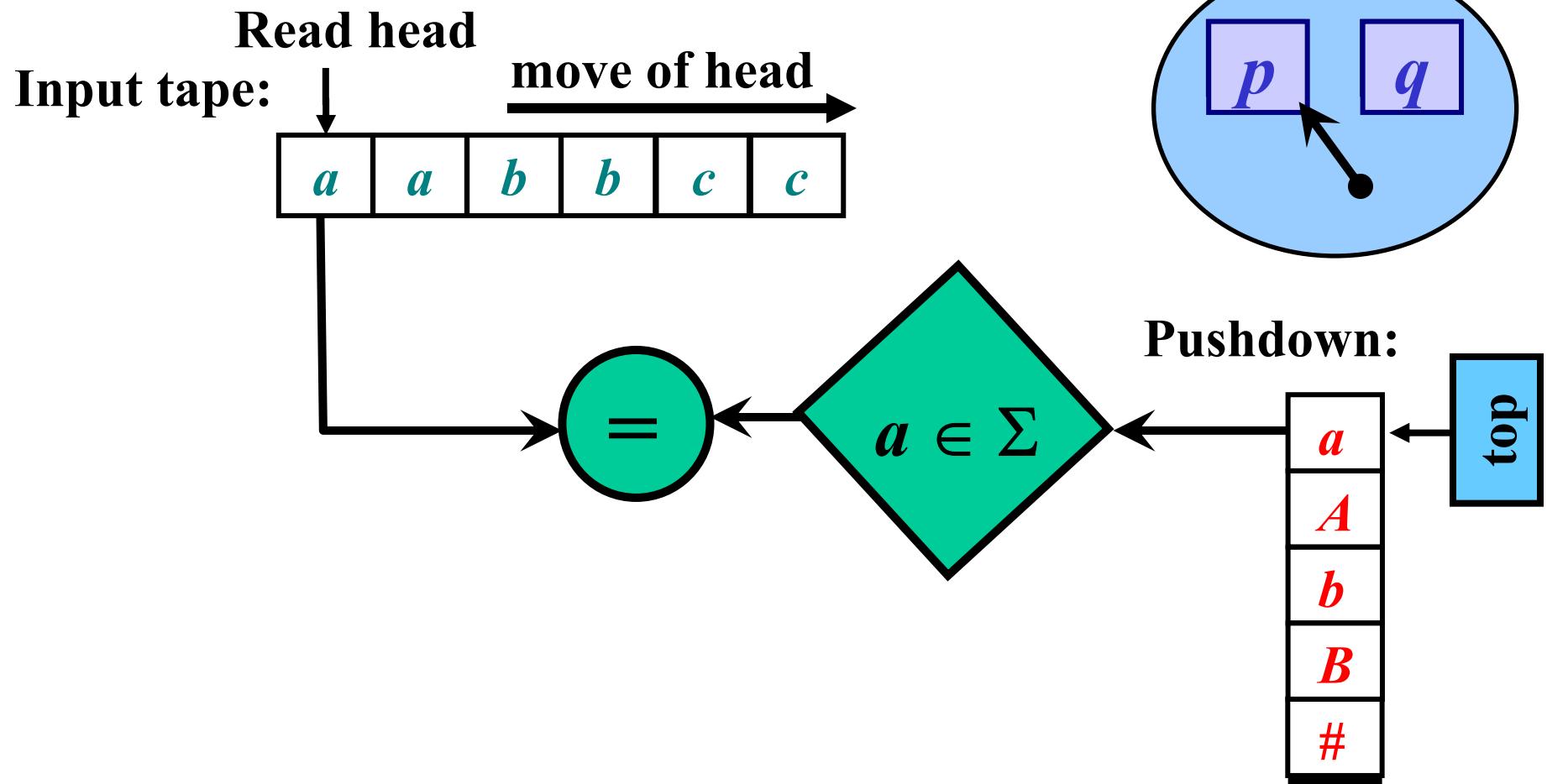
# Pop: Illustration

Move:  $(p, aabbcc, aAbB\#)_p \Rightarrow (p, abbcc, AbB\#)$



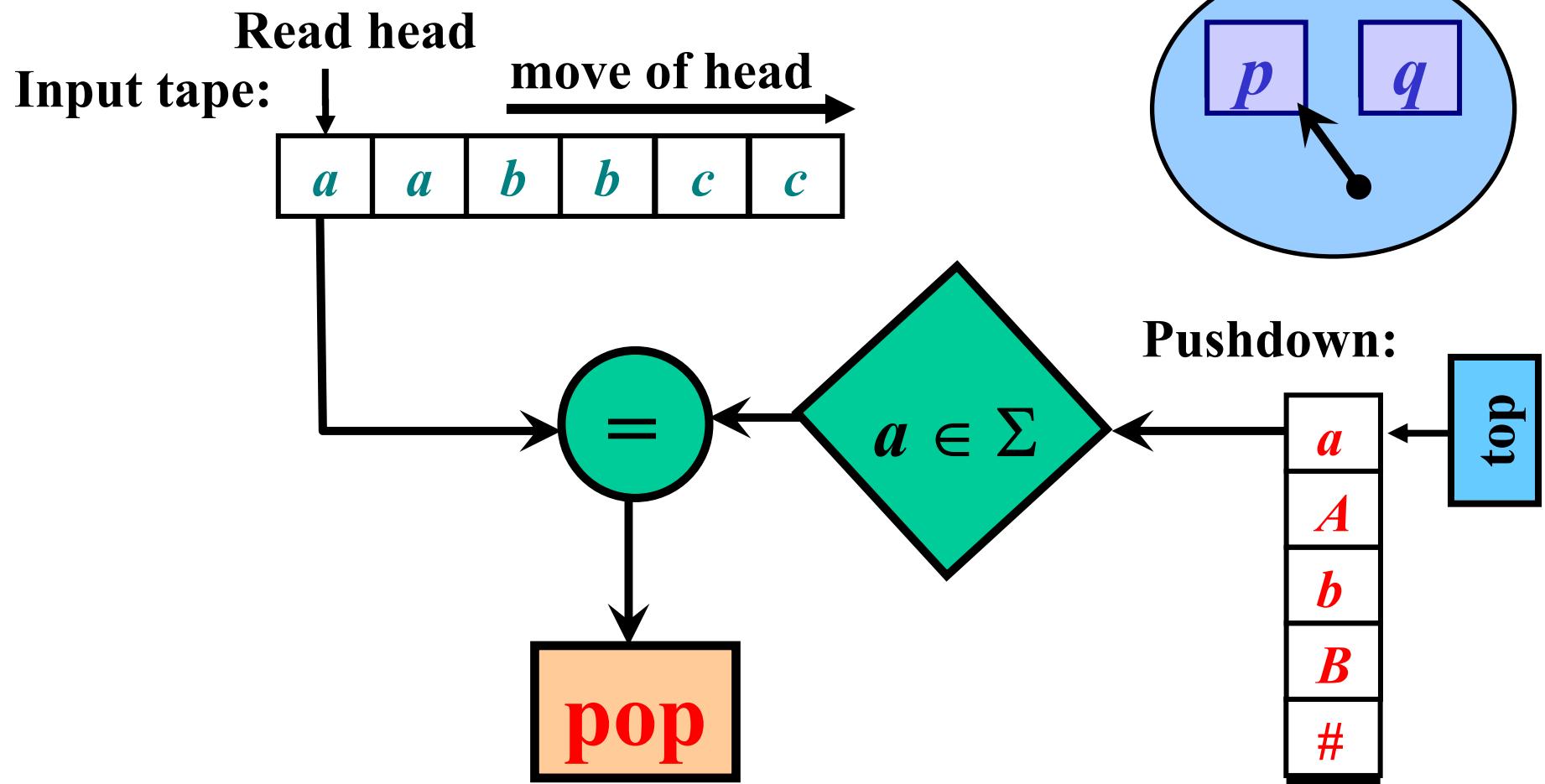
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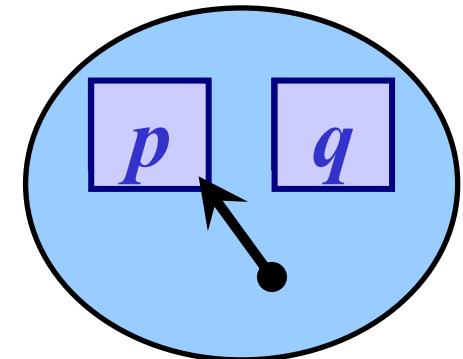
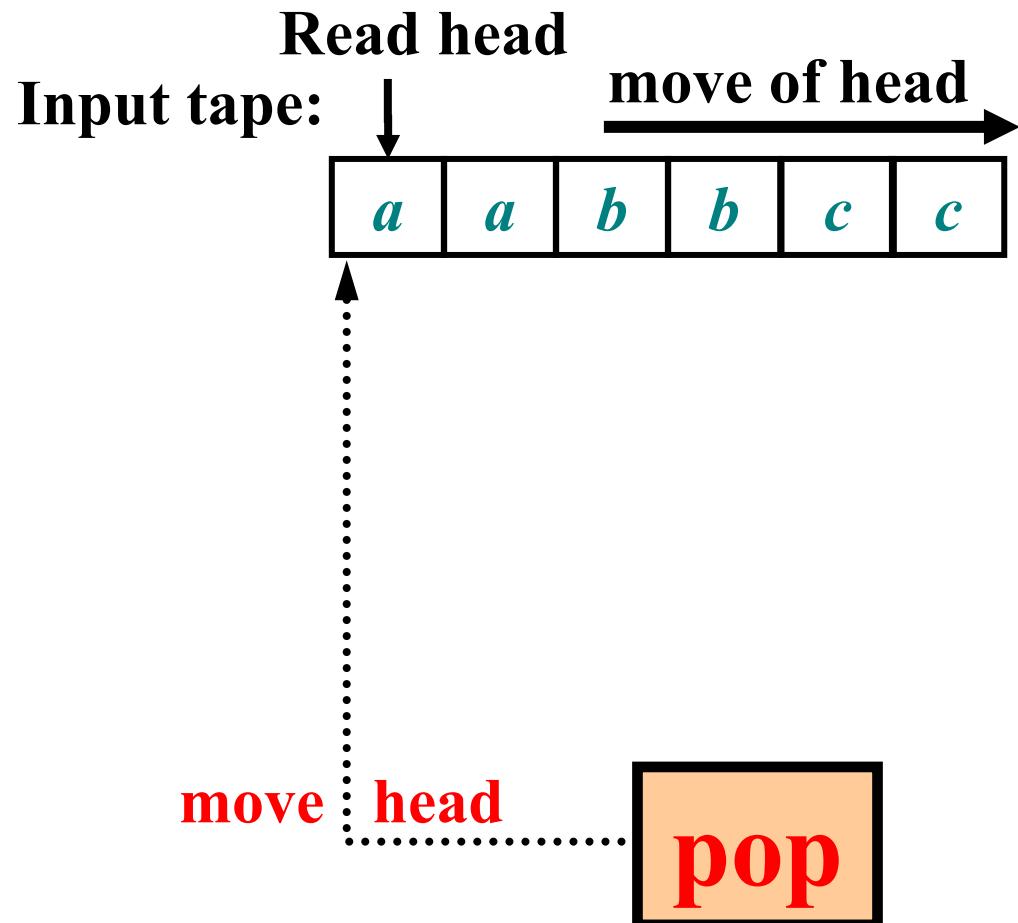
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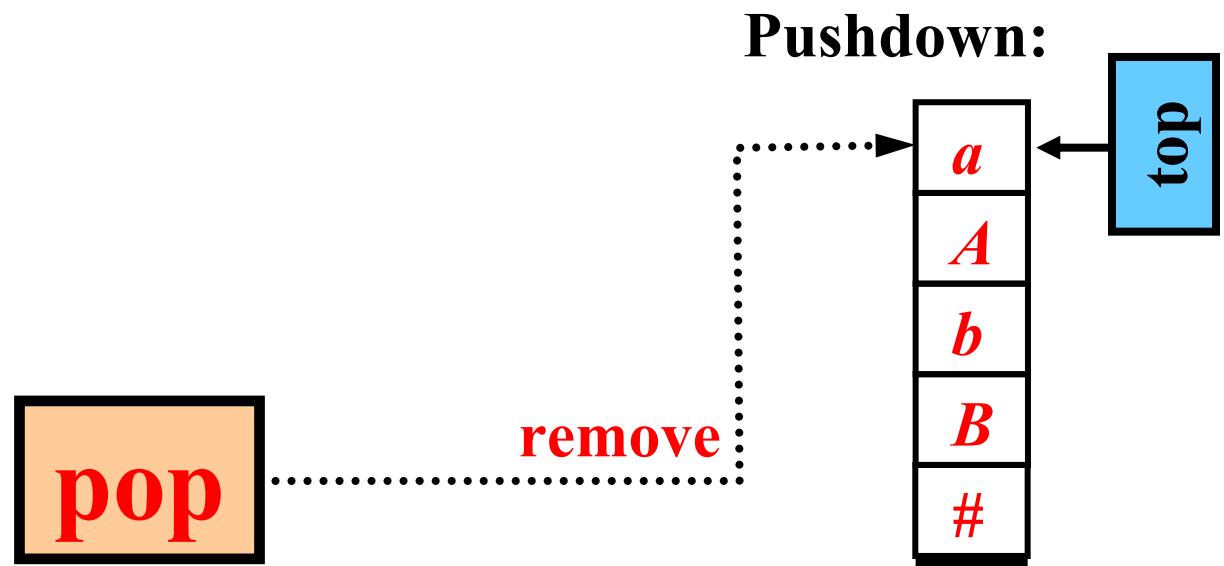
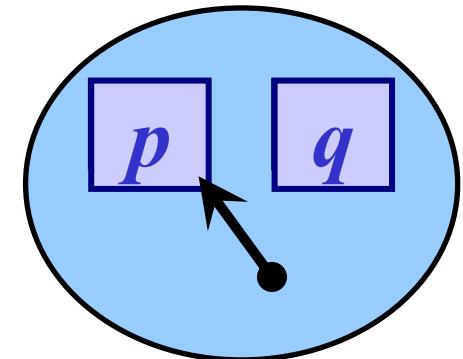
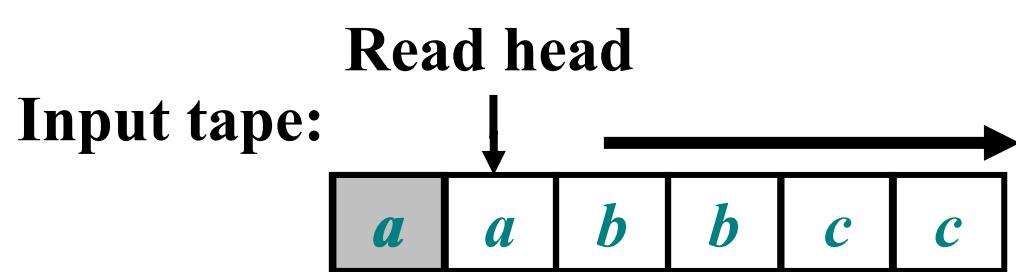
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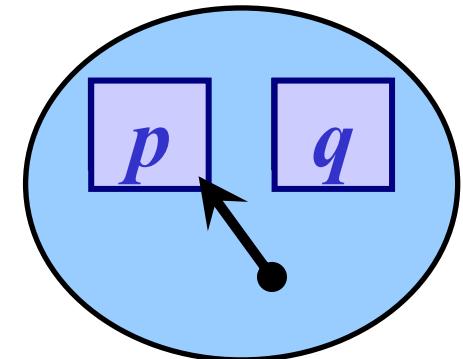
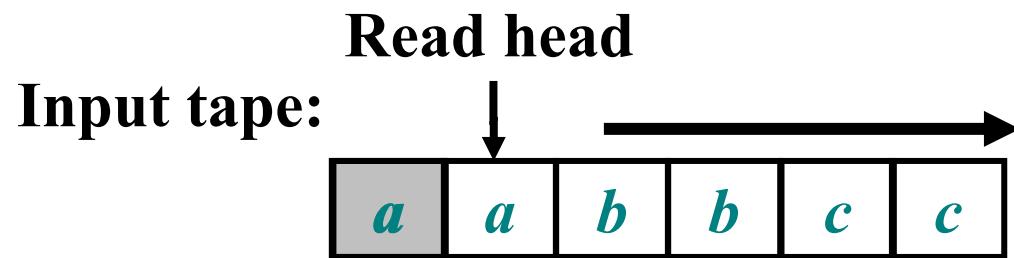
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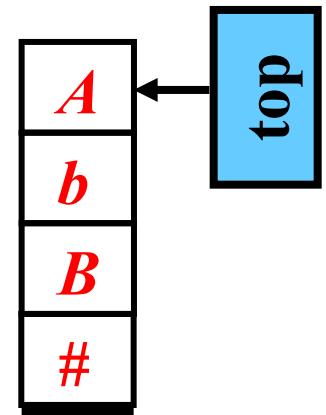


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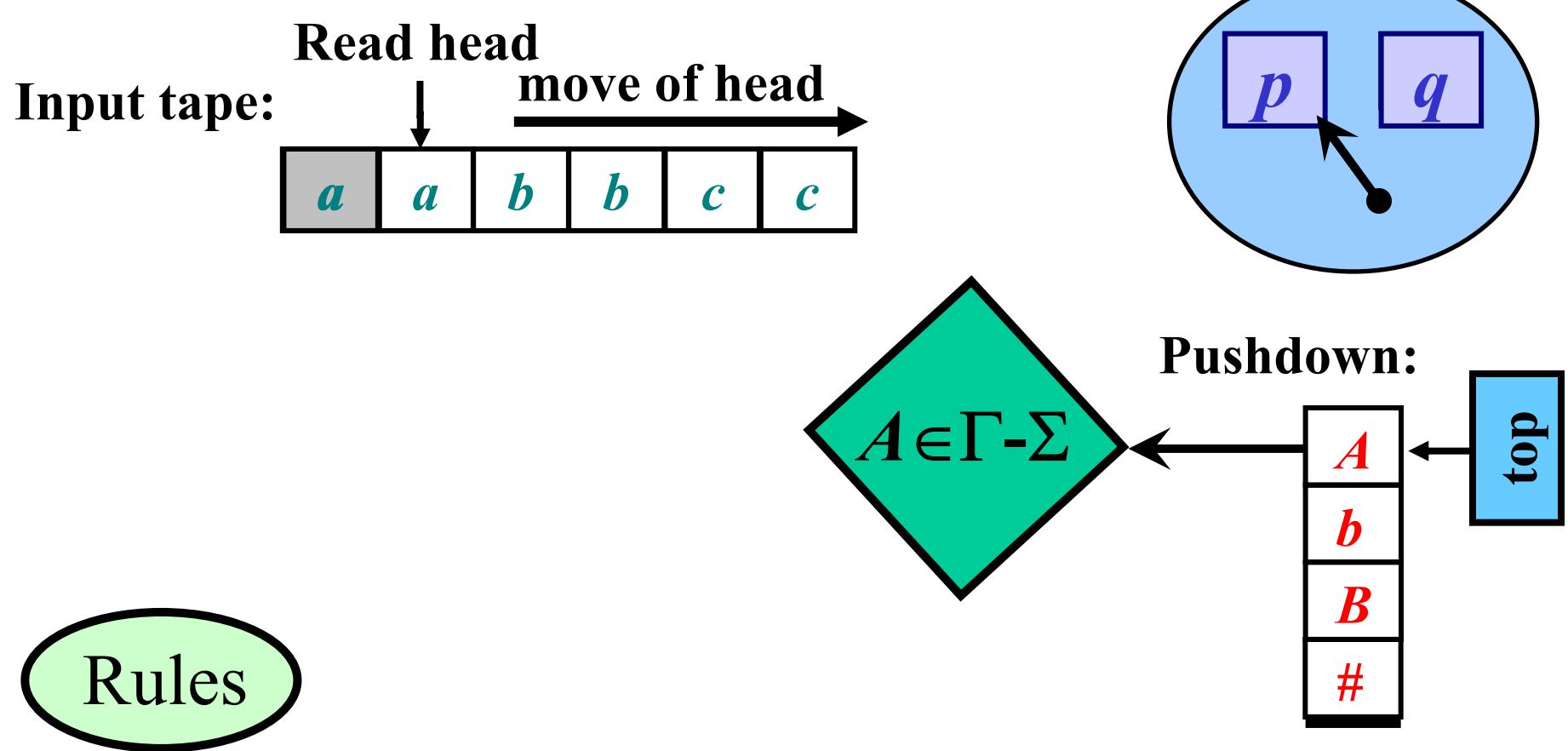


Pushdown:



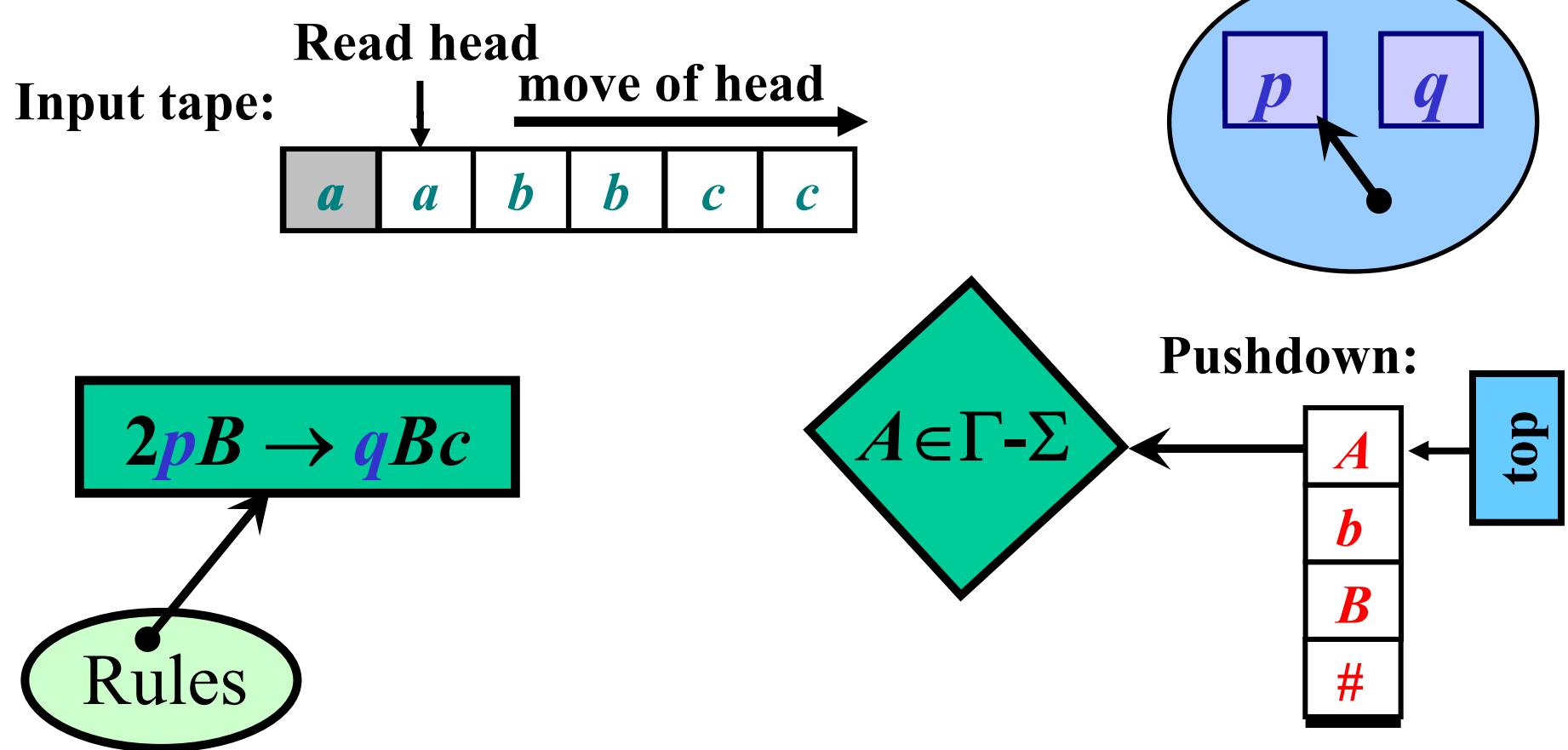
# Deep Expansion: Illustration

**Move:**  $(p, abbcc, AbB\#) \xrightarrow{e} (q, abbcc, AbBc\#)$  [ $2pB \rightarrow qBc$ ]



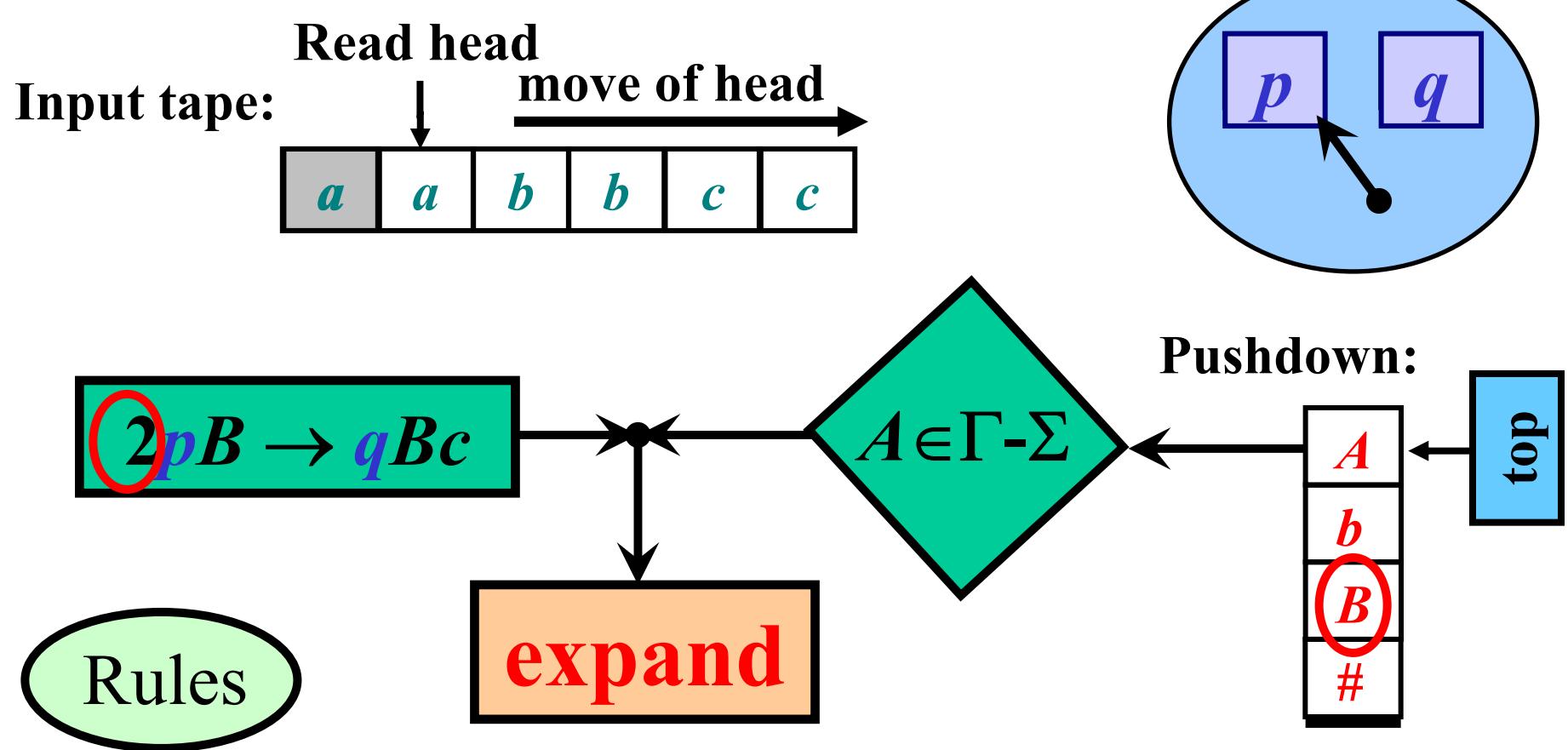
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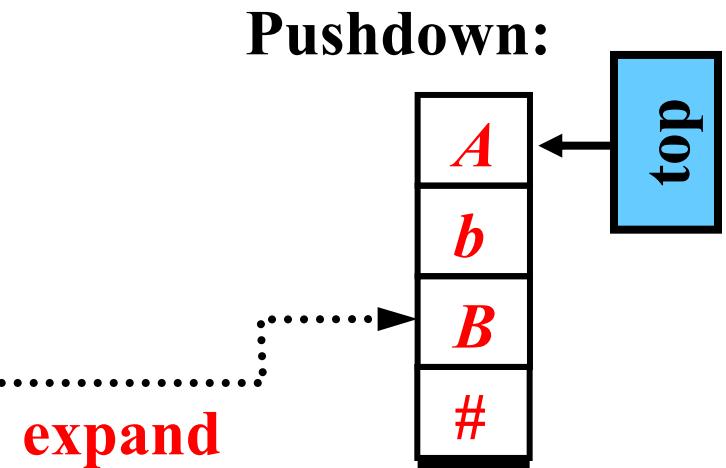
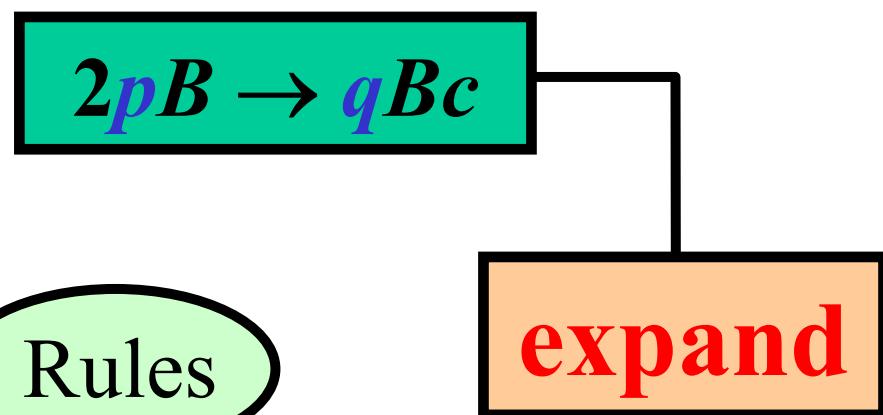
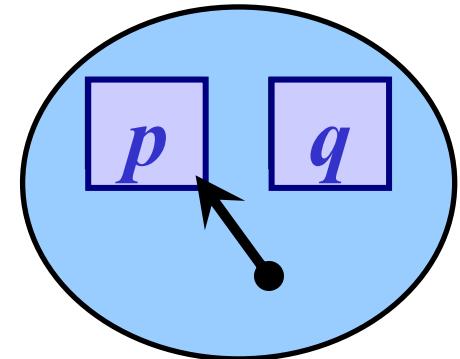
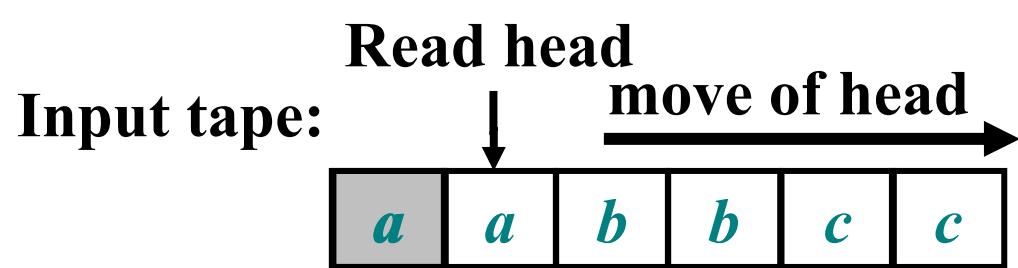
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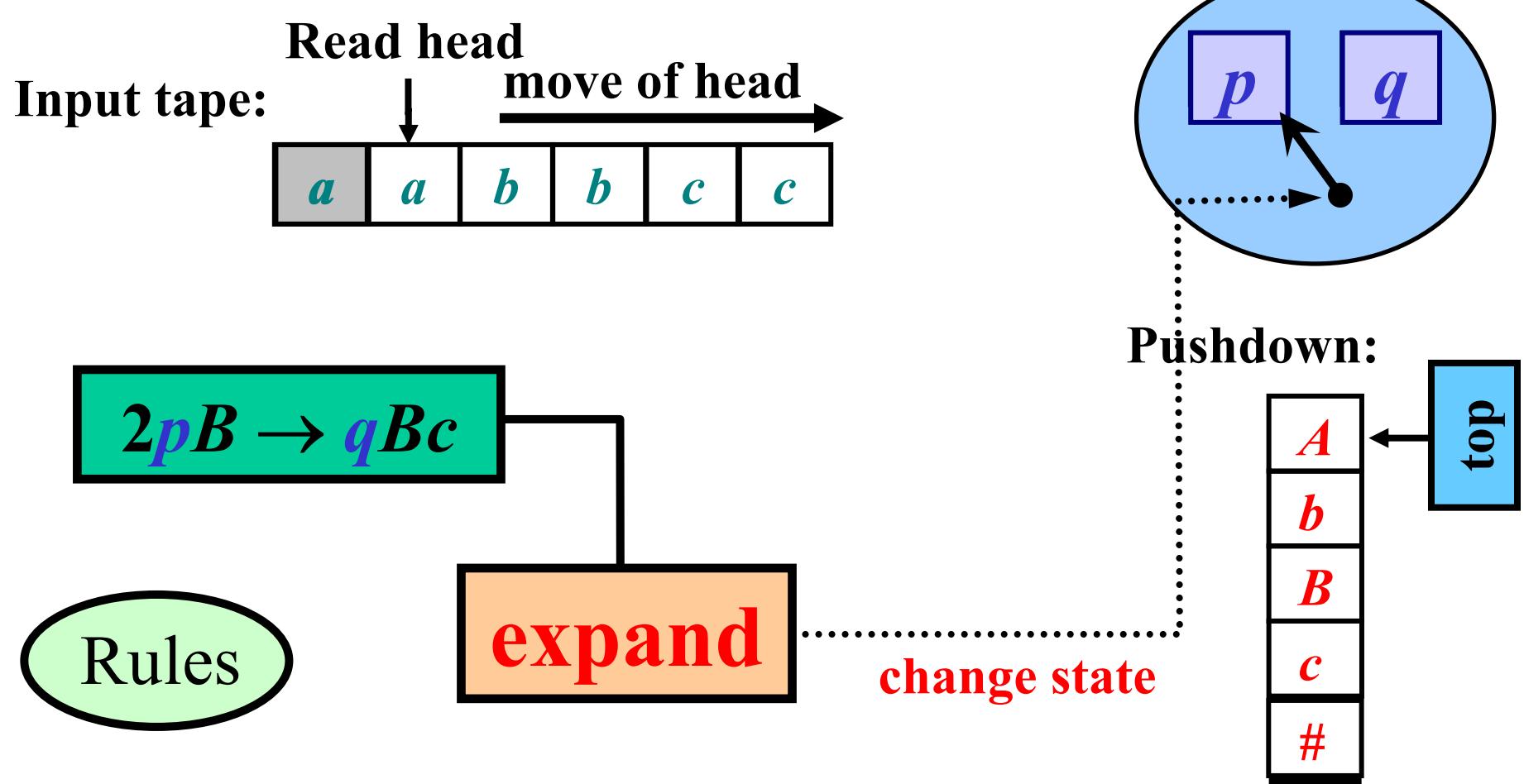
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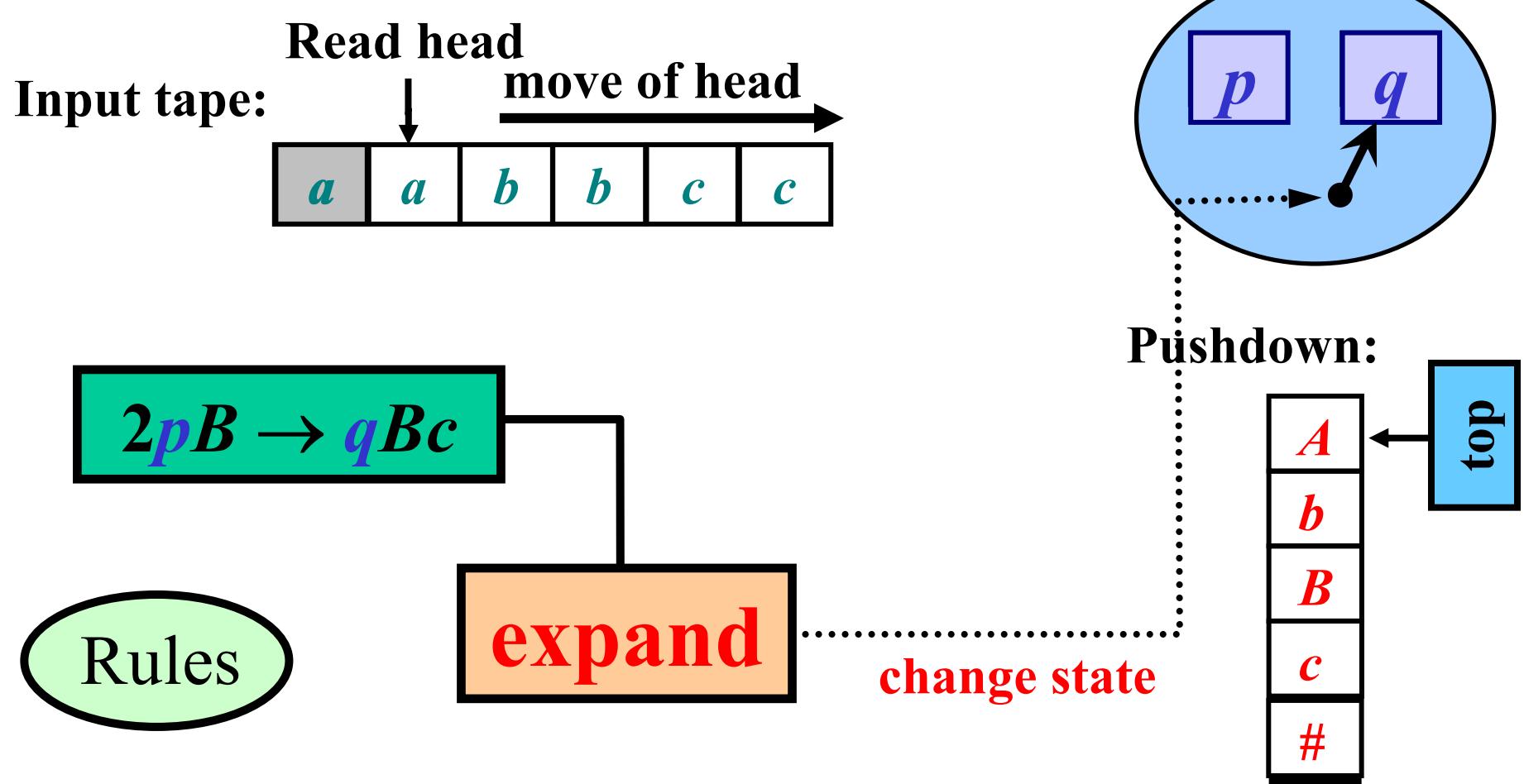
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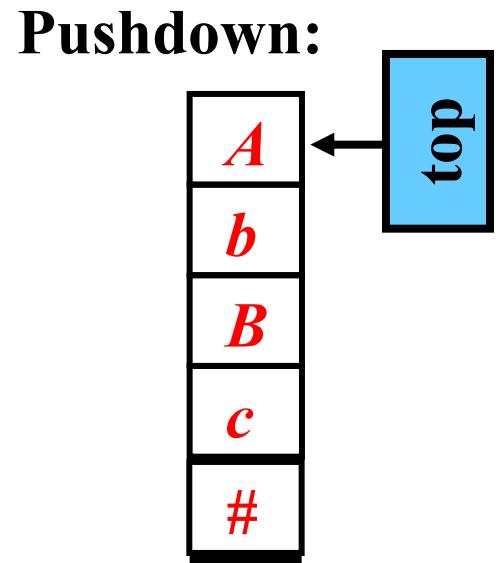
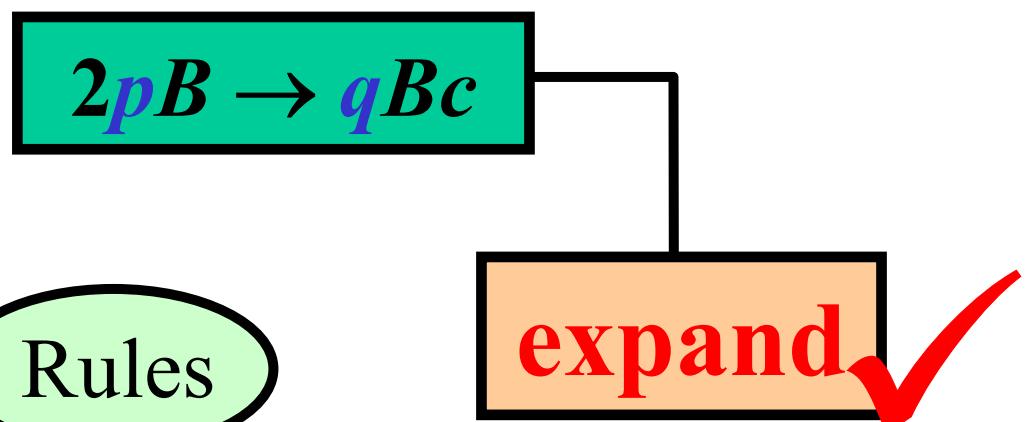
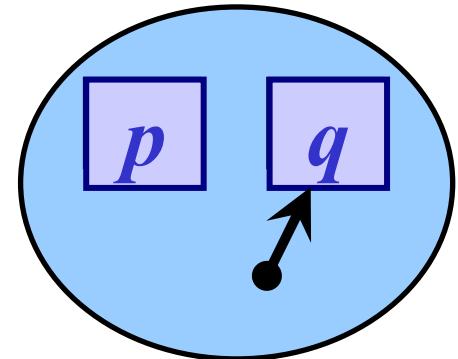
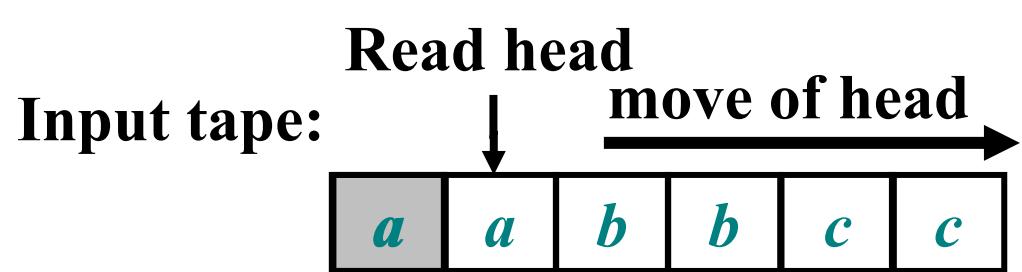
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# Example: Deep PDA

Deep PDA  $M$ :

- [1].  $1\mathbf{s}S \rightarrow \mathbf{q}AB$
- [2].  $1\mathbf{q}A \rightarrow \mathbf{p}aAb$
- [3].  $1\mathbf{q}A \rightarrow \mathbf{f}ab$
- [4].  $2\mathbf{p}B \rightarrow \mathbf{q}Bc$
- [5].  $1\mathbf{f}B \rightarrow \mathbf{f}c$

$M$  accepts  $aabbcc$ :

$(\mathbf{s}, aabbcc, S\#)$

$_e \Rightarrow (\mathbf{q}, aabbcc, AB\#)$  [1]

$_e \Rightarrow (\mathbf{p}, aabbcc, aAbB\#)$  [2]

$_p \Rightarrow (\mathbf{p}, abbcc, AbB\#)$

$_e \Rightarrow (\mathbf{q}, abbcc, AbBc\#)$  [4]

$_e \Rightarrow (\mathbf{f}, abbcc, abbBc\#)$  [3]

$_p \Rightarrow (\mathbf{f}, bbcc, bbBc\#)$

$_p \Rightarrow^2 (\mathbf{f}, cc, Bc\#)$

$_e \Rightarrow (\mathbf{f}, cc, cc\#)$

[5]

$_p \Rightarrow (\mathbf{f}, c, c\#)$

$_p \Rightarrow (\mathbf{f}, \varepsilon, \#)$

$$L(M) = \{a^n b^n c^n : n \geq 1\} \in PD_2$$

# Definition 1/3

*A deep pushdown automaton* is a 7-tuple

$$M = (Q, \Sigma, \Gamma, R, s, S, F), \text{ where}$$

- $Q$  – states,
- $\Sigma \subseteq \Gamma$  – input alphabet,
- $\Gamma$  – pushdown alphabet, bottom symbol  $\# \in \Gamma - \Sigma$
- $R$  – finite set of rules of the form

$$\textcolor{orange}{m} \textcolor{blue}{q} \textcolor{red}{A} \rightarrow \textcolor{blue}{p} \textcolor{magenta}{w} \text{ or } \textcolor{orange}{m} \textcolor{blue}{q} \# \rightarrow \textcolor{blue}{p} \textcolor{magenta}{v} \#$$

- $s \in Q$  – start state
- $S \in \Gamma$  – start pushdown symbol
- $F \subseteq Q$  – final states

## Definition 2/3

- if an input symbol is on pd top,  **$M$  pops** the pd as  
 $(q, au, az)_p \Rightarrow (q, u, z), \quad a \in \Sigma$
- no explicit rule needed in  $R$

- if a non-input symbol is on pd top,  **$M$  expands** the pd as  
 $(q, w, uAz)_e \Rightarrow (p, w, uvz) \quad [mqA \rightarrow pv],$   
 where  $u$  contains  $m - 1$  non-input symbols

## Definition 3/3

- $M$  is *of depth n*, denoted by  $_nM$ , if  $n$  is the minimal positive integer such that each of  $M$ 's rules is of depth  $n$  or less.

- Language accepted by  $_nM$ ,  $L(_nM)$ , is defined as  
$$L(_nM) = \{ \textcolor{teal}{w} \in \Sigma^*: (\textcolor{blue}{s}, \textcolor{teal}{w}, \textcolor{red}{S}\#) \xrightarrow{*}^* (\textcolor{blue}{f}, \varepsilon, \#) \text{ in } _nM$$
  
with  $\textcolor{blue}{f} \in F\}.$

# Main Result and its Proof

- $PD_n$  – the language family defined by  
DeepPDAs of depth  $n$ .
- 

**Theorem:**  $PD_n \subset PD_{n+1}$ , for all  $n \geq 1$ .

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**Proof (Sketch):**

- State grammars (Kasai, 1970) are needed in the proof
- State grammar is a modification of CFG based on  
rules of the form

$$(\textcolor{blue}{q}, \textcolor{red}{A}) \rightarrow (\textcolor{blue}{p}, \textcolor{magenta}{v})$$

# Proof 1/6: State Grammar

- *State grammar*  $G = (V, W, T, P, S)$ 
  - $V$  – total alphabet,  $W$  – states,  $T \subseteq V$  – terminals,
  - $P$  – set of rules of the form  $(q, A) \rightarrow (p, v)$
  - $S \in (V - T)$  – start symbol,

- *Configuration* –  $(q, x)$
- *Derivation step*:
$$(q, uAz) \Rightarrow (p, uvz) [(q, A) \rightarrow (p, v)]$$

and for every nonterminal  $B$  in  $u$ ,  $P$  contains no rule with  $(q, B)$  on the left-hand side

## Proof 2/6: $n$ -limited Step

- **$n$ -limited derivation step:**  
each derivation step within the first  $n$  non-terminals

$(q, \textcolor{magenta}{uA}z) \underset{n}{\Rightarrow} (p, \textcolor{magenta}{uv}z)$  and

$\textcolor{magenta}{uA}$  has  $n$  or fewer non-terminals

- **$n$ -limited state language:**

$$L(G, n) = \{w \in T^* : (q, S) \underset{n}{\Rightarrow}^* (p, w)\}$$

- 
- $ST_n$  – the family of  $n$ -limited state languages

## Proof 3/6: Example

State Grammar  $G$ :

- [1].  $(1, S) \rightarrow (2, AC)$
- [2].  $(2, A) \rightarrow (3, aAb)$
- [3].  $(2, A) \rightarrow (4, ab)$
- [4].  $(3, C) \rightarrow (2, Cc)$
- [5].  $(4, C) \rightarrow (4, c)$

$$W = \{1, 2, 3, 4\}$$

$G$  generates  $aabbcc$ :

- $(S, 1) \Rightarrow (AC, 2)$  [1]
- $\Rightarrow (aAbC, 3)$  [2]
- $\Rightarrow (aAbCc, 2)$  [4]
- $\Rightarrow (aabbcC, 4)$  [3]
- $\Rightarrow (aabbc, 4)$  [5]

$$L(G) = \{a^n b^n c^n : n \geq 1\} \in ST_2$$

## Proof 4/6: $PD_n \subseteq ST_n$ , $n \geq 1$

- $G$  simulates the application of  $\textcolor{brown}{i} \textcolor{blue}{p} \textcolor{red}{A} \rightarrow \textcolor{blue}{q} \textcolor{magenta}{y} \in R$ :
  - make a left-to-right scan of the pd until the  $i$ th occurrence of a non-terminal
  - if  $X_{\textcolor{brown}{i}} = \textcolor{red}{A}$ , then replace  $\textcolor{red}{A}$  with  $\textcolor{magenta}{y}$  and return to the beginning of the sentential form
  - rightmost symbol is always a special  $a'$ , and  $G$  completes the simulation by changing  $a'$  to  $a$

## Proof 5/6: $ST_n \subseteq PD_n$ , $n \geq 1$

- $_nM$  simulates  $G$ 's  $n$ -limited derivations in pd:
  - always records the first  $n$  non-terminals from current  $G$ 's sentential form in its state
  - fewer than  $n$  non-terminals are extended by #s
  - reads the string, empties pd, enters  $\$ \in F$

Proof 6/6:  $PD_n \subset PD_{n+1}$ ,  $n \geq 1$

1) As  $PD_n \subseteq ST_n$  and  $ST_n \subseteq PD_n$   
for all  $n \geq 1$ ,  $ST_n = PD_n$ .

2) Kasai (1970):  $ST_n \subset ST_{n+1}$ , for all  $n \geq 1$ .

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For all  $n \geq 1$ ,  $PD_n = ST_n \subset ST_{n+1} = PD_{n+1}$

Q. E. D.

Note:  $PD_n \subset CS$ ,  $n \geq 1$

For every  $n \geq 1$ , there exists a context-sensitive language  $L$  not included in  $PD_n$ .

# Open Problem Areas

- Determinism
- Rules of form  $mqA \rightarrow p\varepsilon$

# Discussion