

# Static Analysis and Verification

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# **Lattices and Fixpoints**

## **A Brief Introduction**

# Partial Orders

- ❖ A tuple  $(A, \leq_A)$  is a **poset** (partially-ordered set) iff  $A$  is a **set** and  $\leq_A \subseteq A \times A$  is a **partial order** (i.e., a reflexive, transitive, and antisymmetric binary relation) on  $A$ .
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  - an element  $a \in A$  is the **greatest lower bound** of  $B$  (glb/infimum/meet of  $B$ ,  $\sqcap B$ ) iff
    1.  $\forall b \in B. a \leq_A b$  (“lower bound”) and
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- ❖ An example: For  $(2^{\{a,b,c\}}, \subseteq)$ ,  $\sqcup\{\emptyset, \{a\}, \{b\}\} = \{a, b\}$ , and  $\{a\} \sqcap \{b, c\} = \emptyset$ .

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  - $(2^{\{a,b,c\}}, \subseteq)$  is a complete lattice,  $\sqcap$  corresponds to  $\cap$ ,  $\sqcup$  to  $\cup$ ,  $\perp$  to  $\emptyset$ , and  $\top$  to  $\{a, b, c\}$ .

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  - $(\mathbb{N}_\infty, \leq)$ , where  $\mathbb{N}_\infty = \mathbb{N} \cup \{\infty\}$  and  $\forall n \in \mathbb{N}. n \leq \infty$ , is a complete lattice.
- ❖ Given a poset  $(A, \leq_A)$ , a set  $B \subseteq A$  is a **chain** iff  $\forall b, b' \in B. b \leq_A b' \vee b' \leq_A b$ .
  - E.g.,  $\{\emptyset, \{a\}, \{a, b\}, \{a, b, c\}\}$  is a chain wrt.  $(2^{\{a,b,c\}}, \subseteq)$ .

# Functions on Lattices

❖ Let  $(A, \leq_A)$  and  $(B, \leq_B)$  be lattices.

❖ A function  $f : A \longrightarrow B$  is **monotonic** iff  $\forall a, a' \in A. a \leq_A a' \implies f(a) \leq_B f(a')$ .

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  - 0 is the **least fixpoint** of  $f$  and  $\infty$  is the **greatest fixpoint** of  $f$ .

# Knaster–Tarski Theorem

- ❖ **Knaster–Tarski Theorem.** Let  $(A, \leq_A)$  be a complete lattice and let  $f : A \longrightarrow A$  be a monotonic function. Then the set of fixpoints of  $f$  in  $(A, \leq_A)$  is also a complete lattice.
- ❖ Since complete lattices have the least and the greatest element, the theorem in particular guarantees the existence of a **least** and **greatest fixpoint** of  $f$  in  $A$ .

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- ❖ In more constructive terms, the least fixpoint of  $f$  is the stationary limit of  $f^\alpha(\perp_A)$  for  $\alpha$  ranging over the ordinals.
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  - Every ordinal can be represented as the set of all smaller ordinals. There is the **zero ordinal**, **successor ordinals**, and **limit ordinals**. Natural numbers correspond to the so called finite ordinals (ordering types of finite sets), the set of natural numbers is the first infinite ordinal, and so on.

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- ❖ A dual result holds for the **greatest fixpoint**.



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❖ **Theorem.** For finite complete lattices, every monotonic function is  $\sqcap$ - and  $\sqcup$ -continuous.

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$$\top_A \geq_A f(\top_A) \geq_A f(f(\top_A)) \geq \dots$$

❖ **Theorem.** For finite complete lattices, every monotonic function is  $\sqcap$ - and  $\sqcup$ -continuous.

❖ **Corollary.** On finite lattices, the Kleene fixpoint theorem is applicable, hence,

- to compute the least fixpoint, start with  $\perp_A$  and iteratively apply  $f$  till  
 $f^i(\perp_A) = f^{i+1}(\perp_A) = \mu f$ ,
- to compute the greatest fixpoint, start with  $\top_A$  and iteratively apply  $f$  till  
 $f^i(\top_A) = f^{i+1}(\top_A) = \nu f$ .